# Luminescence enhancement by Au nanoparticles in Er<sup>3+</sup>-doped germano-silicate optical fiber

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**Abstract:** We report on the fabrication of the Au nanoparticles/ $\text{Er}^{3+}$  codoped germano-silicate fibers by modified chemical vapor deposition and solution doping processes. Absorption and luminescence characteristics of the  $\text{Er}^{3+}$ -doped germano-silicate fibers incorporated with Au nanoparticles in the core of the fibers were investigated. The Au nanoparticles were found to be effective absorbents for hydroxyl groups to enhance the luminescence of  $\text{Er}^{3+}$  ions upon pumping with the 980nm laser diode.

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**OCIS codes:** (060.2280) Fiber design and fabrication; (300.2140) Emission; (060.2410) Fibers, erbium; (999.9999) gold nanoparticles; (240.6680) Surface plasmons

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 #83983 - \$15.00 USD
 Received 8 Jun 2007; revised 21 Jun 2007; accepted 21 Jun 2007; published 25 Jun 2007

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 9 July 2007 / Vol. 15, No. 14 / OPTICS EXPRESS 8603

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#### 1. Introduction

The  $\text{Er}^{3+}$ -doped fiber amplifiers (EDFAs) have been extensively studied as key devices for wavelength division multiplexing (WDM) optical communication systems with the development of high-power semiconductor laser diodes (LD). Especially, the L-band EDFAs are known to have high gain, low noise, and wide-gain band over 80nm [1]. However, there has been a need to reduce the length of the erbium doped fiber (EDF) for cost down maintaining the high performance. The long EDF has several drawbacks. First, the long EDF increases the nonlinear optical phenomena, especially four wave mixing (FWM), which is proportional to the square of the length of the EDF [2, 3]. This nonlinear interaction between the optical signals creates inter-channel cross talk and thus degrades transmission capacity. Secondly, polarization mode dispersion (PMD) becomes a limiting factor in the 40Gbit/s and beyond transmission systems designed to operate over long distances [4]. Therefore, the EDF contribution to the total PMD has to be minimized. Finally, long fiber length creates challenges for the fiber management in the large-scale amplifier assembly.

The increase of  $Er^{3+}$  ions concentration in the fiber core is an effective method to get higher amplification, whereas high concentration of  $Er^{3+}$  ions may lead to high resonant nonlinearity when the EDF is pumped at 980nm [5-7]. Therefore, use of the modified chemical vapor deposition (MCVD) and solution doping processes to make EDF with low  $Er^{3+}$  concentration of ppm level but keep high efficient emission at the same time is more attractive than the traditional melting and sol-gel methods [8, 9]. The hydroxyl groups usually inevitably exist in silica-based or germano-silicate glass fibers. Since two vibrations of hydroxyl groups are enough to bridge the gap of about 6500cm<sup>-1</sup> between the ground  ${}^{4I}_{15/2}$ state and the first excited  ${}^{4I}_{13/2}$  state of  $Er^{3+}$ , the excited  $Er^{3+}$  efficiently transfers energy to the vibration of hydroxyl groups and relaxes nonradiatively [10]. The enhancement of luminescence of  $Er^{3+}$  from the Er-SiO<sub>2</sub> films was achieved by doping silver ions, which used Ag/Ag<sup>+</sup> groups as photosensitizers to transfer the energy from the pumping source to  $Er^{3+}$  ions [11]. As high as 500 times enhancement was also reported in the Au nanoparticles (NPs) doped sol-gel SiO<sub>2</sub> films, in which Au NPs played as a chemical absorbent for hydroxyl groups and thus increased the number of hydroxyl-group free  $Er^{3+}$  [12].

We made great efforts to conduct research on noble metal NPs incorporated silicate-based fibers in the field of nanophotonics [13-15], aiming to combine the amazing nanoscience and nanotechnology with the traditional geometric optics together. In this study, we attempted to realize the enhancement of  $Er^{3+}$  luminescence by the incorporation of Au nanoparticles in the germano-silicate fiber by use of the conventional MCVD and solution doping processes. Based on the previous work on the fabrication of Au nanoparticles incorporated fibers [13] and through a series of experiments using  $Er^{3+}$  singly-doped fiber (EDF), Au NPs/ $Er^{3+}$  co-doped fibers (Au\_EDF), Au NPs singly-doped fiber (Au\_Fiber) and the reference fiber without any dopant (Ref. Fiber), we show that Au NPs are effective to enhance the luminescence of  $Er^{3+}$  ions upon pumping with 980nm LD.

 #83983 - \$15.00 USD
 Received 8 Jun 2007; revised 21 Jun 2007; accepted 21 Jun 2007; published 25 Jun 2007

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 9 July 2007 / Vol. 15, No. 14 / OPTICS EXPRESS 8604

# 2. Experiments

All the four designed fibers were fabricated in house using the MCVD and solution doping processes, which was detailedly described in Ref.13 reported by our group. The characteristics of the fibers are shown in Table I. The concentrations listed in the table are nominal concentration and the solution doping time was fixed at 2 hours.

Sample	Doping Solution (Concentration)	Cut-Off Wavelength	Core Diameter
Unit	mol%	nm	μm
EDF	${\rm Er}^{3+}(0.1)$	1110	8.04
Au_EDF	Au <sup>3+</sup> (1.0) Er <sup>3+</sup> (0.1)	1150	8.04
Au_Fiber	$Au^{3+}(2.5)$	1159	8.94
Ref. Fiber		1180	9.04

Table I. Characteristics of the germano-silicate fibers doped with Er<sup>3+</sup> and/or Au particles

Due to the boiling temperature as high as 2856 [16], Au atoms and their clusters could survive from the MCVD process with the temperature up to 2350. Since the structure of the fiber (8-10 $\mu$ m diameter core surrounded by 125 $\mu$ m diameter outside cladding) and the extremely low dopant concentration of ppm level make the Au NPs difficult to detect by direct measurement methods, such as Transmission Electron Microscopy (TEM) and X-ray diffraction (XRD), the cut-back method was used to measure the absorption spectrum of the optical fiber to confirm the formation and existence of the Au NPs in the core of fiber [14]. The core diameter and the cut-off wavelength of the fibers listed in Table I allow for single transverse mode operation at the communication window of 1550nm. Figure 1 illustrates the experiment setup for absorption and emission measurements. Absorption was measured with the 30m-length fibers and the fibers were twisted with 8cm radius to remove the possible bending loss. As for the emission measurement, a 980nm LD or a 488nm Argon-ion laser was used.



Fig.1. Schematic diagram of the experiment setup for absorption and emission measurements.

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#### 3. Results and discussion

3.1 Linear absorptive optical properties of EDF and Au\_EDF



Fig. 2. Absorption spectra of the EDF, the Au\_EDF, the Au\_Fiber and the Ref. Fiber: (a) at 460nm  $\sim$  560nm (b) at 1300nm  $\sim$ 1650nm.

Figure 2(a) compares the absorption spectra of the four made fibers, showing the surface plasmon resonance (SPR) absorption peak around 498.4nm found in Au\_Fiber compared with Ref. Fiber reported by our group before [14,15]. As shown in Fig. 2, in Au\_EDF and EDF the absorption peaks were found to appear from 460nm to 560nm and at 1385 nm, respectively. Two absorption peaks at 488nm ( ${}^{4}I_{15/2} \rightarrow {}^{4}F_{7/2}$ ) and 520nm ( ${}^{4}I_{15/2} \rightarrow {}^{4}H_{11/2}$ ) were due to Er<sup>3+</sup> ions and the peak at 1385 nm was from the OH impurities. The absorption intensity of these two typical peaks from Er<sup>3+</sup> ions increased after the incorporation of Au, and this kind of increase was thought to be due to the side-band effect of the SPR absorption peak of Au NPs around 498.4nm. On the contrary, as shown in Fig. 2(b) the peak intensity at 1385 nm from OH impurities decreased, which was believed to be ascribed to the more passive performance of hydroxyl groups when exposed to Au NPs besides them, rather than Au NPs reducing the actual amount of hydroxyl groups in a germano-silicate fiber [12].



Fig. 3. Comparison of the luminescence of the EDF and the Au\_EDF pumped (a) with the 488nm Argon-ion laser and (b) with the 980nm laser diode

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### Received 8 Jun 2007; revised 21 Jun 2007; accepted 21 Jun 2007; published 25 Jun 2007 9 July 2007 / Vol. 15, No. 14 / OPTICS EXPRESS 8606

#### 3.2 Luminescence of EDF and Au\_EDF pumped with different sources

The emission of the fibers was obtained by pumping with different light sources such as 488nm Argon-ion laser and 980nm LD and shown in Fig. 3. The luminescence intensity of the fibers increased with the increase of the pump power regardless of the dopants. As shown in Fig. 3(a), the luminescence intensity of the Au\_EDF decreased compared to that of the EDF when it was pumped with the 488nm Argon-ion laser. On the other hand, the luminescence of the Au\_EDF increased when pumped with the 980nm LD and moreover the net gain at the communication window became saturated with the increase of the pump power due to the low level concentration of  $\text{Er}^{3+}$  ions in this experiment as shown in Fig. 3(b). Since the hydroxyl groups in a glass are known to preferentially coordinate around Au NPs due to their large surface area [17], the Au\_EDF has more hydroxyl-free  $\text{Er}^{3+}$  ions than the EDF. Thus the net gain of the Au\_EDF was obviously higher than that of the EDF when pumped at 980nm, the wavelength far from the SPR absorption peak of Au NPs.



Fig. 4. Schematic energy level diagram of Au atoms<sup>15</sup>

It is interesting to note that the emission of the Au EDF was smaller than that of the EDF when the pumping source was the 488nm Argon-ion laser as shown in Fig. 3(a). The optical properties of gold are known to be due to 5d (valence) and 6sp (conduction) electrons [18]. The outermost d and s electrons are treated together leading to 6 bands: 5 of them are fairly flat and lie a few eV below the Fermi level, they are usually denoted d bands, the 6th one being almost free-electron-like, i.e. roughly parabolic with an effective mass very close to that of a free electron as shown in Fig. 4 [15]. This last band is known as the conduction band or sp band. When the Au NPs are confronted with a laser beam of the frequency  $\omega$  close to the SPR frequency  $\omega$  of Au NPs, there are three kinds of transitions: the conduction electron intraband transition due to the Drude dielectric constant, the free electron interband transition between the d and sp bands, and the photoexcited hot electrons pumped at the wavelength around the SPR absorption peak of Au NPs. Among them, the free electron interband transition and the photoexcited hot electrons will absorb most of the energy of the incident 488nm laser beam, and then transfer the energy to the surrounding dielectric glass lattice rather than to the  $Er^{3+}$  ions within a few picoseconds [19]. Because of this energy loss,  $Er^{3+}$ ions in the Au\_EDF did not absorb the pumping energy as high as in the EDF, which is in turn responsible for the decrease of the emission found in the Au\_EDF when pumped with the 488nm Argon-ion laser.

 #83983 - \$15.00 USD
 Received 8 Jun 2007; revised 21 Jun 2007; accepted 21 Jun 2007; published 25 Jun 2007

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 9 July 2007 / Vol. 15, No. 14 / OPTICS EXPRESS 8607

## 4. Summary

In summary, we successfully made EDF codoped with Au NPs by MCVD and solution doping processes. Au NPs were found to be effective chemical absorbent for hydroxyl groups by increasing the number of hydroxyl-free  $Er^{3+}$  ions and consequently enhancing the luminescence of  $Er^{3+}$  ions upon pumping with the 980nm LD. Due to the SPR absorption originating from the free electron interband transition and the photoexcited hot electrons of Au NPs, the opposite and negative effects also turned up when pumped with the 488nm Argon-ion laser.

#### Acknowledgments

This research was partially supported by Korea Science and Engineering Foundation (KOSEF) through grant No.R01-2004-000-10846-0, by the National Core Research Center (NCRC) for Hybrid Materials Solution of Pusan National University, by the GIST Technology Initiative (GTI) through grant No.K01496, and by BK-21 Information Technology Project, Ministry of Education and Human Resources Development, Republic of Korea.