

Pattern of cerebral hyperperfusion in Alzheimer's disease and amnesic mild cognitive impairment using voxel-based analysis of 3D arterial spin-labeling imaging: initial experience

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Purpose: A three-dimensional (3D) continuous pulse arterial spin labeling (ASL) technique was used to investigate cerebral blood flow (CBF) changes in patients with Alzheimer's disease (AD), amnesic mild cognitive impairment (aMCI), and age- and sex-matched healthy controls.

Materials and methods: Three groups were recruited for comparison, 24 AD patients, 17 MCI patients, and 21 age- and sex-matched control subjects. Three-dimensional ASL scans covering the entire brain were acquired with a 3.0 T magnetic resonance scanner. Spatial processing was performed with statistical parametric mapping 8. A second-level one-way analysis of variance analysis (threshold at $P < 0.05$) was performed on the preprocessed ASL data. An average whole-brain CBF for each subject was also included as group-level covariates for the perfusion data, to control for individual CBF variations.

Results: Significantly increased CBF was detected in bilateral frontal lobes and right temporal subgyral regions in aMCI compared with controls. When comparing AD with aMCI, the major hyperperfusion regions were the right limbic lobe and basal ganglia regions, including the putamen, caudate, lentiform nucleus, and thalamus, and hypoperfusion was found in the left medial frontal lobe, parietal cortex, the right middle temporo-occipital lobe, and particularly, the left anterior cingulate gyrus. We also found decreased CBF in the bilateral temporo-parieto-occipital cortices and left limbic lobe in AD patients, relative to the control group. aMCI subjects showed decreased blood flow in the left occipital lobe, bilateral inferior temporal cortex, and right middle temporal cortex.

Conclusion: Our results indicated that ASL provided useful perfusion information in AD disease and may be used as an appealing alternative for further pathologic and neuropsychological studies, especially of compensatory mechanisms for cerebral hypoperfusion.

Keyword: Alzheimer's disease, amnesic mild cognitive impairment, perfusion image, arterial spin labeling

Introduction

Alzheimer's disease (AD) shows gradual onset and is progressive. In addition to the fundamental pathological changes in AD, including senile plaques and neurofibrillary pathology,¹ vascular factors also play a critical role in the pathogenesis of AD.^{2,3} Previous research found decreased regional cerebral blood flow (CBF) in AD.⁴ Indeed, perfusion deficiencies are present from very early preclinical phases of AD (ie, during mild cognitive impairment [MCI]) and persist well into the latest stages, demonstrating a pattern of increased hyperperfusion with disease development.

Positron emission tomography (PET) has been generally regarded as the gold standard for the evaluation of brain perfusion. Previous PET and single-photon emission

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computed tomography (SPECT) studies have shown metabolic and perfusion reductions in AD.⁵⁻⁷ However, PET scanning has several disadvantages, including the need for injection of radioactive tracers and limited availability in comparison with magnetic resonance imaging (MRI) scanners. Arterial spin-labeled (ASL) perfusion MRI uses the water in arteries as an endogenous contrast media to help visualize tissue perfusion and provide quantitative assessment of CBF, without radiation or need for an intravenous line, which eliminates the side effects of contrast media and exposure to radiation.⁸ Previous studies have found that ASL-MRI detects a regional pattern of hypoperfusion in AD similar to PET and SPECT.⁹⁻¹¹

Most previous ASL research was based on either conventional two-dimensional multislice or three-dimensional (3D) gradient echo-based techniques and suffered from motion and reducing susceptibility artifacts.¹²⁻¹⁴ We now apply a new continuous pulse ASL (pCASL) method and 3D fast spin echo (3D-FSE) with spiral readout to further increase the signal-to-noise ratio and reduce motion artifacts and distortion in regions of high magnetic susceptibility.^{15,16} In this study, we used voxel-based analysis to test whether this new 3D-FSE pCASL MRI could detect regional patterns of perfusion changes in AD and MCI versus cognitively normal (CN) subjects.

Patients and methods

Subjects

We recruited 62 participants for this prospective study between December 2010 and February 2012, including 24 patients with AD,¹⁷ with amnesic mild cognitive impairment (aMCI), and 21 healthy age-matched CN controls. Participants were examined by structural MRI and 3D pCASL MRI.

All participants provided a detailed medical history and underwent neurologic examination, screening laboratory testing, and a standard battery of neuropsychological tests, which included the Mini-Mental Status Examination (MMSE)¹⁸ for global cognitive ability and the Clinical Dementia Rating Scale.¹⁷ A diagnosis of probable AD was made using the joint criteria from the National Institute of Neurological and Communicative Disorders and Stroke and Alzheimer's Disease and Related Disorders Association.¹⁹ A diagnosis of aMCI was made according to the Petersen or the Alzheimer's Disease Cooperative Study criteria.²⁰ All participants were right-handed. The MMSE score for AD and MCI patients ranged from 9–23 and 24–26, respectively.

Exclusion criteria included the following: patients diagnosed with metabolic diseases that could interfere with cognitive functioning; patients with other brain diseases

or pathological brain scan findings, including significant cerebrovascular diseases (cortical infarctions or multiple lacunas lesions); and patients with depression or dysthymia, according to the *Diagnostic and Statistical Manual of Mental Disorders*, Fourth Edition (DSM-IV) criteria.

CN subjects underwent a structured interview to exclude patients with cognitive dysfunction, substance abuse, psychiatric disease, neurologic disease, major heart disease, diabetes, vascular disease, or head trauma. All CN subjects scored above 27 out of 30 on the MMSE.

The study was approved by the local ethics committee, and all subjects provided written, informed consent.

Image acquisition

Images were acquired using a standard magnetic resonance scanner (Signa® Excite 3.0 T MRI; GE Healthcare, Little Chalfont, UK) with an 8-Channel Phased Array head coil for radiofrequency transmission and reception.

Routine protocols included axial diffusion-weighted echo planar sequences (b-value =1,000 s/mm², repetition time (TR)/echo time (TE): 6,000/66.9), T1-weighted gradient-echo sequences (TR/TE/inversion time: 11.3/5.3/300), and T2-weighted FSE sequences (TR/TE: 5,000/72.5).

For brain tissue segmentation and image registration to a brain anatomy template, T1-weighted images were acquired using an axial 3D brain volume imaging (3D-BRAVO™) (GE Healthcare) sequence with a repetition time of 10 ms, echo time of 7 ms, inversion time of 300 ms, 15° flip angle, and 1.00 × 1.00 mm in-plane resolution.

ASL images were acquired with a 3D pCASL sequence that uses a pseudocontinuous labeling technique¹⁵ and an FSE acquisition with an interleaved stack of spiral (outward direction) readout and a centric ordering in the slice-encoding direction, as shown in Figure 1. Selective inversion and

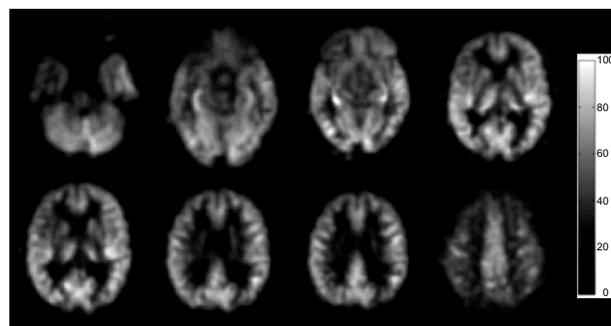


Figure 1 A set of CBF images of one 68-year-old control subject, from a pCASL scan.

Note: The display window is from 0 to 100 mL/100 g/min.

Abbreviations: CBF, cerebral blood flow; pCASL, continuous pulse arterial spin labeling.

saturation pulses were applied to a slab containing the imaged region and ending at the labeling plane. In addition to the background suppression pulses, inferior saturation pulses were applied to suppress the inflowing arterial blood spins after labeling was completed. A continuous pulse scheme was employed with a labeling duration of 1 second. Other acquisition parameters were as follows: 8 arms with 512 points in each spiral arm, phase encoding in the left-right direction, bandwidth = ± 62.5 kHz, section thickness = 4 mm, TR = 1,350 msec, TE = 5 msec, flip angle = 155° , postlabel delay = 1.5 seconds, image reconstruction matrix = 128×128 , field of view = 24 cm, and number of excitations = 3.

Spatial processing

Following acquisition and image reconstruction, the data were transferred to an offline personal computer for image processing and analysis. All subsequent image processing was performed by one of the authors (YZ).

ASL difference maps between tag and control pairs were averaged for each subject, to form an individual whole-brain perfusion-weighted map. Quantitative CBF maps, in units of mL/100 g/min, were calculated based on a single-compartment ASL model, using the vendor-provided toolbox.²¹ Voxel-based analysis was performed using statistical parametric mapping (SPM8; Wellcome Trust Centre for Neuroimaging, London, United Kingdom). Spatial transformation included a 3D rigid body registration to correct for head motion, followed by a nonlinear warping to spatially normalize CBF maps into a standard stereotaxic space, using the Montreal Neurological Institute template. The final CBF maps were resampled to a $2 \times 2 \times 2$ mm³ isotropic voxel size. An isotropic Gaussian smoothing kernel (full width at half maximum = 6 mm) was applied to improve the signal-to-noise ratio.

Statistical analysis

Group differences in age, education, and MMSE scores were analyzed using one-way analysis of variance with a least significant differences post hoc analysis. The sex effects were tested with Student's *t*-tests.

A second-level one-way analysis of variance was performed to identify the perfusion differences between each pair of AD versus NC, aMCI versus NC, and AD versus aMCI. The average whole-brain CBF for each subject was included as group-level covariates for perfusion measurement, to control for the individual CBF variation. The significance level of perfusion differences was determined with Student's *t*-tests between each pair of groups. The

statistical results were further corrected for multiple comparisons. Monte Carlo simulations were performed using the AlphaSim program (<http://afni.nimh.nih.gov/pub/dist/doc/manual/AlphaSim.pdf>) implemented in AFNI to control the false-positive rate. The threshold for uncorrected statistics was set at $P < 0.01$ for the cluster size of more than 125 contiguous voxels, resulting in a corrected significance level of $P < 0.05$.

Results

Demographics, clinical data

Table 1 shows demographic information for the three study groups. All groups were of a similar age and were balanced for sex. The mean ages of the CN and AD patients were essentially equal (two-tailed grouped *t*-test: $t = 0.998$, $P = 0.324$). The groups showed a similar sex distribution ($\chi^2 = -0.405$, $P > 0.05$). Education level was similar in the CN and AD patients ($P > 0.05$). The mean MMSE scores of the two groups were significantly different ($P < 0.01$).

The mean MMSE score in the AD group was 21.0 (range: 17–26), which represents mild to moderate dementia.

AD group versus CN group

Figure 2 shows the differences in absolute CBF between patients with AD and the CN patients, and the cluster-level statistics for all rendered clusters are summarized in Table 2. At an AlphaSim-corrected significance level of $P < 0.05$, in AD, hypoperfusion was demonstrated in the bilateral parieto-occipital cortices (including both sides of the superior, middle, and inferior occipital gyri, the cuneus, superior parietal lobule, left inferior occipital lobe, right precuneus, and left parahippocampal gyrus), right fusiform gyrus, and left splenium of the corpus callosum. Significant hyperperfusion

Table 1 Demographic characteristics of patients and controls

	CN	aMCI	AD
Number	21	17	24
Age (years)	69.64 \pm 5.884	71.38 \pm 7.606	74.58 \pm 6.678
M:F	8:13	6:11	5:19
Education (years)	12.1 \pm 3.4	10.75 \pm 2.9	11.6 \pm 4.2
Duration ^a (years)	0 \pm 0	1.0 \pm 0.8	3.1 \pm 2.1
MMSE (max = 30)	29.4 \pm 1.0	25.5 \pm 2.2	16.0 \pm 3.9
CDR (max = 3)	0 \pm 0	0.5 \pm 0.2	2.1 \pm 0.7

Notes: Values are mean \pm SD. ^aDisease duration was determined at the time of imaging by both subjective report and collateral history of symptom onset provided by a caregiver.

Abbreviations: AD, Alzheimer's disease; aMCI, amnesic mild cognitive impairment; CDR, Clinical Dementia Rating; CN, cognitively normal; MMSE, Mini-Mental State Examination; SD, standard deviation; M, male; F, female.

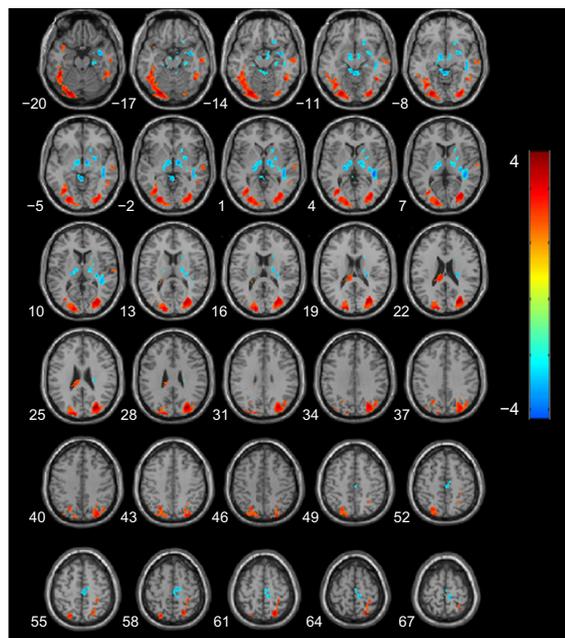


Figure 2 Statistical parametric map of significant cerebral blood flow differences between AD and CN patients.

Notes: Z axial coordinates in the Talairach space are from 20 to 67 mm, in increments of 3 mm. An AlphaSim correction was applied to the threshold significance level ($P < 0.05$). Red = hypoperfusion, and blue = hyperperfusion, in AD.

Abbreviations: AD, Alzheimer's disease; CN, cognitively normal.

was found in the bilateral thalami, right caudate nucleus and putamen, paracentral lobule, and the right side of the white matter in the temporal lobe (Figure 2). There were no clusters of significant hypoperfusion seen in the primary motor or sensory cortices.

Table 2 Regional perfusion differences in contrast in AD and CN patients

Anatomical locus	Talairach space (mm)			Brodmann area	t-value	Cluster size
	X	Y	Z			
Hypoperfusion						
Left occipital lobe, occipital superior, middle, inferior gyrus, cuneus	-24	-82	-9	17, 18, 19	4.77	2,569
Left temporal lobe, superior temporal gyrus, gray matter	-38	7	25	38	3.95	163
Right parietal lobe, superior parietal lobule	-24	-65	55	7	3.34	391
Left limbic lobe, parahippocampal gyrus	-30	-15	-21	13	4.09	142
Left splenium of corpus callosum	-8	-24	23	White matter	3.40	88
Right temporal lobe, fusiform gyrus	44	-55	-11	37	3.79	362
Right temporal lobe, middle and inferior temporal gyrus	55	-18	-14	20, 21	3.33	340
Right parieto-occipital lobe, middle and superior occipital gyrus, precuneus, cuneus, gray matter	30	-74	30	19	5.30	2,286
Right parietal lobe, superior parietal lobule	22	-61	62	7	4.03	255
Hyperperfusion						
Left thalamus, ventral posterior lateral nucleus	-20	-19	6		-3.32	355
Right thalamus, ventral lateral nucleus	16	-15	6		-2.89	76
Right putamen	24	0	-3		-3.02	42
Right caudate head	18	17	-1		-2.86	57
Right temporal lobe, superior temporal gyrus, white matter	40	-33	7	White matter	-4.20	392
Right frontal lobe, paracentral lobule	10	-26	64	6	-3.95	312

Abbreviations: AD, Alzheimer's disease; CN, cognitively normal.

MCI group versus CN group

Figure 3 shows the results of a direct comparison of perfusion in patients with aMCI and CN, and the coordinates of the peaks are listed in Table 3. At an AlphaSim-corrected significance level of $P < 0.05$, a lower CBF was seen in aMCI than CN, in the left cuneus, left fusiform gyrus, bilateral inferior temporal cortices, right middle temporal cortex, and the right superior parietal lobe. Meanwhile, increased CBF was detected in bilateral frontal lobes and right temporal subgyral regions.

MCI group versus AD group

A significant cluster of hypoperfusion was found encompassing portions of the left medial frontal lobe, left parietal cortex, right middle temporo-occipital lobe, and in particular, the left side of the anterior cingulate gyrus (Figure 4), and the coordinates of the peaks are listed in Table 4. Compared with the aMCI group, hyperperfusion in AD was shown in the right medial frontal gyrus, right paracentral lobule, right limbic lobe (including the posterior cingulate and cuneus, right fusiform, and parahippocampal gyrus), and the right basal ganglia region (putamen, caudate, lentiform nucleus, and thalamus).

Discussion

The most important findings in our 3D ASL research were the hyperperfusion patterns in the aMCI and AD groups. Compared with CN, aMCI showed increased CBF in the

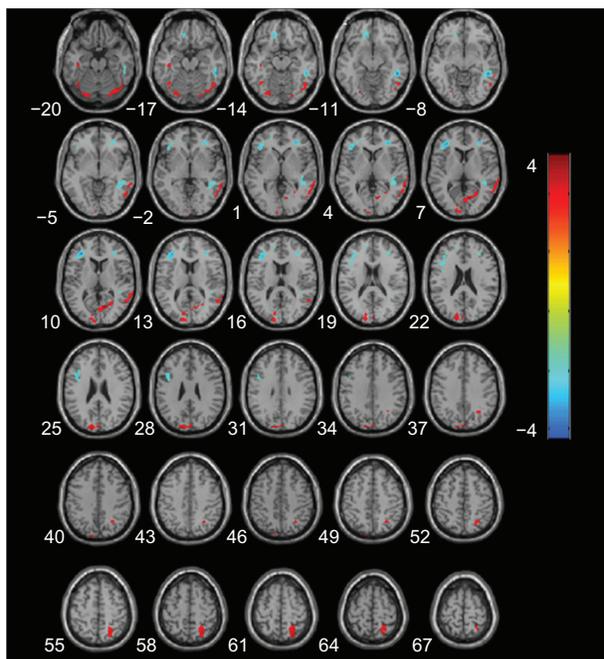


Figure 3 Statistical parametric map of significant cerebral blood flow differences between aMCI and CN patients.

Notes: Z axial coordinates in the Talairach space are from 20 to 67 mm, in increments of 3 mm. An AlphaSim correction was applied to the threshold significance level ($P < 0.05$). Red = hypoperfusion, and blue = hyperperfusion, in aMCI.

Abbreviations: aMCI, amnesic mild cognitive impairment; CN, cognitively normal.

bilateral frontal lobes and right temporal subgyral regions. When AD patients were compared with aMCI, the major hyperperfusion regions were the right limbic lobe and basal ganglia regions, including putamen, caudate, and thalamus, and hypoperfusion was found in the left medial frontal lobe, parietal cortex, right middle temporo-occipital lobe, and in particular, the left anterior cingulate gyrus. The results may suggest that different patterns of hyperperfusion correspond to different stages of cognitive decline.

Hyperperfusion can serve as a compensatory mechanism against cognitive decline in normal aging, MCI, and early stages of AD.^{22–25} A previous study demonstrated hyperperfusion in the prefrontal cortex (PFC).²⁶ Models of neurocognitive aging suggest that the PFC is the seat of compensatory recruitment in older adults and sometimes in MCI.^{22,25} Mozolic et al have recently demonstrated that cognitive training increases regional (r)CBF in the rostrolateral PFC in older adults and that this increase in rCBF correlates with an increase in these patients' performance on attention tasks.²³ All these reports support our findings in aMCI, suggesting hyperperfusion in the frontal lobe may compensate for early cognitive decline.

A recent study reported elevated blood flow in the hippocampus, parahippocampal gyrus, temporal pole, and superior temporal gyrus in AD compared with healthy controls, which is in agreement with our findings.²⁷ In addition to these similar findings, we have found that the CBF in the right basal ganglia regions increased with decreasing CBF in the frontal lobe.

Clement and Belleville reported a functional MRI study in which MCI patients were divided into two groups based on the Mattis Dementia Rating Scale scores. Higher-cognition MCI patients showed activation of the right prefrontal cortex during verbal memory tasks, while lower-cognition MCI patients and control subjects did not.²⁴ This suggests that PFC compensation is present at the beginning of the MCI continuum but eventually breaks down as symptoms increase in severity. Hypoperfusion in the frontal lobe may be the main cause of the decompensation according to our results. Fortunately, there is another compensatory pathway – the basal ganglia.

The basal ganglia play important roles in higher-cognitive functions, such as in reinforcement learning and procedural

Table 3 Regional perfusion differences in contrast in aMCI and CN patients

Anatomical locus	Talairach space (mm)			Brodmann area	t-value	Cluster size
	X	Y	Z			
Hypoperfusion						
Left occipital lobe, cuneus, gray matter	-14	-92	28	19	3.51	350
Left temporal lobe, fusiform gyrus, gray matter	-20	-82	-14	19	3.54	136
Right temporal lobe, inferior temporal gyrus, gray matter	54	-8	-34	20	3.34	135
Left temporal lobe, inferior temporal gyrus, gray matter	-36	-6	-36	20	3.96	182
Right temporal lobe, middle temporal gyrus, gray matter	66	-46	0	21	3.71	363
Right parietal lobe, superior parietal lobule, gray matter	24	-63	62	7	3.83	298
Hyperperfusion						
Right frontal lobe, subgyral, white matter	34	40	10	White matter	-4.14	215
Left frontal lobe, subgyral, white matter	-34	38	8	White matter	-4.45	586
Left frontal lobe, subgyral, white matter	-14	34	-14	White matter	-3.64	135
Right temporal lobe, subgyral, white matter	40	-34	6	White matter	-4.82	429

Abbreviations: aMCI, amnesic mild cognitive impairment; CN, cognitively normal.

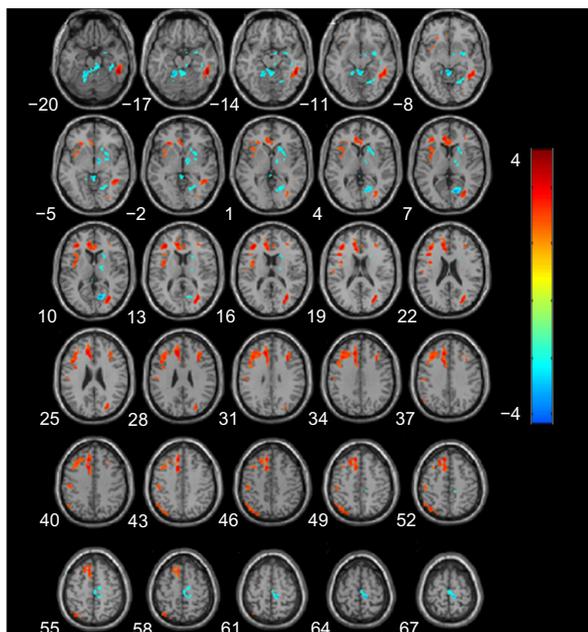


Figure 4 Statistical parametric map of significant cerebral blood flow differences between patients with aMCI and AD.

Notes: Z axial coordinates in the Talairach space are from 20 to 67 mm, in increments of 3 mm. An AlphaSim correction was applied to the threshold significance level ($P < 0.05$). Red = hypoperfusion, and blue = hyperperfusion, in AD.

Abbreviations: AD, Alzheimer's disease; aMCI, amnesic mild cognitive impairment.

memory. An anatomical study of the neuronal connections between the basal ganglia, cerebral cortex, and thalamus have demonstrated that these nuclei and cortical areas are interconnected via independent parallel loop circuits.²⁸ The associations and limbic cortices project to specific domains in the striatum, which projects back to the corresponding cortical areas via the substantia nigra/globus pallidus and the thalamus.²⁹ Therefore, when the frontal cortices undergo

atrophy and dysfunction, the basal ganglia and thalamic inhibitory activity will decline, and high perfusion in this region will be noted. The increased rCBF occurring in the fronto-striato-thalamic circuitry may play an essential role in the modulation of behavior and cognition in the stages of AD.

Furthermore, in this study, we also observed high perfusion changes in the right paracentral lobule in patients with AD, suggesting that the lobule might be associated with delusions and other psychiatric symptoms.³⁰

Concerning hypoperfusion in AD, our study demonstrated significantly decreased CBF regions in the bilateral temporo-parieto-occipital cortex in AD compared with CN, which is consistent with previous research that showed remarkable hypoperfusion patterns in the posterior temporo-parietal cortex and the surrounding regions.^{31–33} We also found regions of hypoperfusion in the left parahippocampal gyrus and right medial temporal lobe. These similar results were also found in recent ASL studies.^{34–36}

In comparison with normal controls, the aMCI group showed similar parieto-occipital lobe hypoperfusion, but the range sizes were relatively smaller, indicating that these patients were still at the initial stage of the disease.

Some differences exist between our results and previous studies. Hypoperfusion of the anterior cingulate region in AD patients is in contrast with the previously discussed hyperperfusion in that region.²⁷ Dai et al found that MCI was associated with increased blood flow in the right basal ganglia compared with healthy controls, while we found this similar hyperperfusion region in the AD group.³⁴ Several factors, including different subject populations, different imaging

Table 4 Regional perfusion differences in contrast, in patients with aMCI and AD

Anatomical locus	Talairach space (mm)			Brodmann area	t-value	Cluster size
	X	Y	Z			
Hypoperfusion						
Left limbic lobe, medial frontal gyrus, anterior cingulate	-10	34	26	9	4.46	3,750
Right frontal lobe, subgyral, white matter	34	21	25	White matter	3.97	295
Left parietal lobe, inferior parietal lobule, gray matter	-48	-58	47	40	3.42	179
Right temporal lobe, middle temporal gyrus, gray matter	51	-39	-11	20	5.59	576
Right occipital lobe, middle occipital gyrus, gray matter	32	-67	14	20	4.48	329
Hyperperfusion						
Right frontal lobe, medial frontal gyrus, paracentral lobule	10	-26	62	6	-4.75	175
Right limbic lobe, posterior cingulate/right occipital lobe, cuneus, gray matter	14	-66	11	30	-4.97	380
Right putamen, caudate, lentiform nucleus	20	18	5		-3.49	224
Right temporal lobe, fusiform gyrus, parahippocampal gyrus, gray matter	36	-34	-17	20	-3.50	353
Right thalamus	10	-4	-5		-4.10	159

Abbreviations: AD, Alzheimer's disease; aMCI, amnesic mild cognitive impairment.

techniques (magnetic resonance equipment and sequences), statistical analysis, and different standards of reference may account for this discrepancy.

There are several limitations to our study. First, variability related to the conditions of imaging, alertness, and physiologic state of the research participant, medications, and diet (including caffeine and nicotine) may affect CBF. Second, because of our small sampling numbers, we investigated only one stage of dementia (either MCI or AD) and healthy controls, and we did not test within multiple stages of AD. Further study based on a large population is necessary to validate our results in the future. Finally, we did not estimate the effect of partial volume in our rCBF measurements. Observed hypoperfusion in the bilateral precuneus and posterior cingulate gyri might be partly due to local gray matter volume loss. This would not have directly affected the results of the diagnostic evaluation of ASL in our study or the hyperperfusion results because there was no significant difference in the basal ganglia and frontal atrophy between AD, MCI, and the aging CN group. However, such an estimate would be important when the synergetic effects of functional assessment by ASL and morphometric assessment by structural images are evaluated in future studies.

In conclusion, our study indicates that the new 3D ASL technique with 3T MRI can be used to reveal rCBF changes in individuals with AD and aMCI patients, helping to discriminate from cognitively healthy subjects. The investigation of hyperperfusion may provide valuable information for the understanding of the compensatory mechanisms in AD disease.

Disclosure

The authors report no conflicts of interest in this work.

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