

The SITSMining Framework

A Data Mining Approach for Satellite Image Time Series

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Abstract: The amount of data generated and stored in many domains has increased in the last years. In remote sensing, this scenario of bursting data is not different. As the volume of satellite images stored in databases grows, the demand for computational algorithms that can handle and analyze this volume of data and extract useful patterns has increased. In this context, the computational support for satellite images data analysis becomes essential. In this work, we present the SITSMining framework, which applies a methodology based on data mining techniques to extract patterns and information from time series obtained from satellite images. In Brazil, as the agricultural production provides great part of the national resources, the analysis of satellite images is a valuable way to help crops monitoring over seasons, which is an important task to the economy of the country. Thus, we apply the framework to analyze multitemporal satellite images, aiming to help crop monitoring and forecasting of Brazilian agriculture.

1 INTRODUCTION

Advances in technologies have led to a rapid increase in the amount of data generated and stored in several application domains. In remote sensing, large volumes of complex data, such as satellite images, are acquired from different kinds of orbital sensors in whole world. In the last decade, the amount of complex data stored in remote sensing databases has exceeded the human capacity of manually analyze and extract useful information from these databases. At the same time, the possibility of exploiting these data in order to obtain useful information has increased the interest of the experts. Therefore, new methods available in computational tools are needed to allow the analysis of big volumes of complex data, in order to discover valuable information and knowledge.

Satellite images have been widely used to study land surface, such as identification of forest, water, urban areas, as well as for meteorological applications. However, if manually performed, these studies can be very time consuming for the experts, and therefore almost impracticable. To overcome this problem, many computational techniques can be applied to perform this analysis in feasible time.

A common approach to satellite image analysis is to extract the pixel values from a single image and apply data mining techniques, such as clustering or classification, to group similar pixels or to label every pixel of the image in order to identify areas of interest. This approach is very used in agriculture, in which the task consists in labeling each pixel (or a group of pixels) of one satellite image based on its value, aiming to identify one or more types of crop areas, such as sugar cane or coffee.

We focus on a different approach, based on the analysis of time series extracted from satellite image time series (SITS), which is a sequence of satellite images taken from the same scene. Therefore, a time series is obtained for each pixel, such that each data point corresponds to the pixel value in one image of the SITS. We can thus grasp the information related to the behavior of each area in the images along time, and analyze it using data mining techniques, such as clustering (Kyrgyzov et al., 2007) and classification (Vaduva et al., 2011). The analysis of SITS using data mining is useful in agriculture, for example, for crops monitoring along seasons (Julea et al., 2011).

We propose a framework to allow the analysis of time series obtained from multitemporal satellite im-

ages through data mining methods. Initially, a dataset is extracted from satellite imagery time series, considering a region of interest provided by the user. As the framework uses the time series approach, for each area of the region of interest, one or more time series can be extracted from the satellite images. Therefore, a real area can be analyzed based on different aspects, such as surface temperature and vegetation index, for example. Then, data mining tasks, such as classification and clustering, can be applied to classify or cluster the region of interest. Finally, the framework provides an appropriately formatted output for the experts, that allows a proper visualization of the results, such as spatial geographic visualization.

We also show experimental studies of applying the framework to classify and cluster the Sao Paulo state, Brazil, for agricultural purposes. These tests produce useful results for the expert analysis, as they provide a geographic visualization of the data mining output, allowing the experts to identify areas such as sugarcane crops, forest, rivers and urban areas. Also, representative time series of each pattern (class or cluster) are returned, which are essential to understand the behavior of the areas associated to the patterns, over time.

The paper is organized as follows. Section 2 gives background concepts. In Section 3 we detail the proposed framework. Experimental studies performed on the framework basis are described in Section 4 and Section 5 concludes the paper.

2 BACKGROUND

Temporal Data Mining. A time series can be defined as ordered numeric measurements at regular time intervals (Mitsa, 2010). A time series $T = \{t_1, \dots, t_i, \dots, t_n\}$ can be univariate or multivariate. A data point t_i of a univariate time series is a one-dimensional real value, i.e., $t_i \in \mathbb{R}$. If T is a multivariate or multidimensional time series, each point t_i is a D -dimensional vector, i.e., $t_i \in \mathbb{R}^D$, with $D > 1$.

Time series datasets are presented in many application domains, and because of its ubiquity and exponentially growing size of databases in recent years, there has been an explosion of interest in knowledge discovery and data mining techniques for time series analysis (Maimon and Rokach, 2005).

Nowadays, time series are used in domains such as medicine (electrocardiograms and electroencephalography), finances (sequences of stock values over a period of time in stock market) and agrometeorology (historical series of rainfall or series of crop production). The main tasks in temporal data mining are (Maimon and Rokach, 2005): classification, clus-

tering, prediction, indexing, summarization, anomaly detection and segmentation. In this work, we focus on time series classification and clustering.

Clustering is the process of grouping sets of objects based on their similarity, so that one object is more similar to another object of the same cluster, and less similar to an object of a different cluster, according to a given distance function (Han and Kamber, 2000). Clustering is an unsupervised learning task, i.e., only the dataset is necessary and no additional information about the data is needed. To perform time series clustering, the use of a distance function compatible with time series is required.

Classification is a supervised or semi-supervised learning task, which means some kind of knowledge about the data must be provided, in most cases, by the domain experts (Mitsa, 2010). This supervised information corresponds to the training set, a set of examples previously labeled. The classification process occurs in two steps (Han and Kamber, 2000): 1) construction of a model (or classifier) that describes a predetermined set of classes or concepts, based on the training set examples; 2) the model is used to classify unlabeled objects in the dataset. In most classification methods, a distance function is needed to calculate distance values between objects. In most cases, if the distance function is compatible with time series, the method can be applied for time series classification.

Distance function is generally used to measure the dissimilarity between two time series. One of the most widely used is the Euclidean distance (L_2). Given two time series $A = \{a_1, \dots, a_i, \dots, a_n\}$ and $B = \{b_1, \dots, b_i, \dots, b_n\}$, L_2 is defined by:

$$L_2(A, B) = \sqrt{\sum_{i=1}^n (a_i - b_i)^2} \quad (1)$$

It is important to note that the Euclidean distance is also suitable for multivariate time series. If A and B are multivariate time series, the Equation 1 is calculated considering data points a_i and b_i as multidimensional vectors, instead of one-dimensional vectors.

However, Euclidean distance cannot be used to calculate the dissimilarity between two time series with different lengths. To overcome this problem, the well-known Dynamic Time Warping (DTW) (Berndt and Clifford, 1994) function seeks to calculate the similarity between two time series by performing the alignment among different pairs of data points. Thus, if two time series have similar shapes but are not aligned in the time axis, DTW can still recognize their similarity. Since DTW calculates the distance between pairs of data points using Euclidean distance, it can also be applied to multivariate time series.

Another distance function suitable for time series of different lengths is the Longest Common Subsequence (LCSS) (Vlachos et al., 2003). The LCSS objective is to return the size of the longest common subsequence between two time series, so that the larger this size is, the more similar are the two time series. Since time series are real valued, a threshold value e is needed, and a pair (a_i, b_i) is considered common if the Euclidean distance $L_2(a_i, b_i) < e$. The LCSS can also deal with multivariate time series.

Satellite Images. The potential of multitemporal satellite images to support research of meteorology, agricultural monitoring, environment and urbanism has increased according to improvements in technological development, especially in analysis of large volume of data available for knowledge discovery. Several satellites can be used to help the monitoring and estimation of agricultural production, such as crop area and yield estimation; climate applications as well as forecasting and weather monitoring; and land surface study. These applications have used specially satellites which have low spatial resolution and high temporal resolution images, for example, the National Oceanic and Atmospheric Administration (NOAA), with its Advanced Very High Resolution Radiometer (AVHRR) sensor; the satellite TERRA - Earth Observing System (EOS) with Moderate Resolution Imaging Spectroradiometer (MODIS) sensor; and SPOT Vegetation (Satellite Pour l'Observation de la Terre Vegetation).

Due to availability of daily images since 1970, specialists have historical series and use images from different satellites. These sensors are applied to studies of ecosystems and long time series of data have been used to support researches in a regional scale for a longer period of time. Additional advantages are global coverage and free access to data. Moreover, by combining different satellite channels it is possible to generate synthesis images such as the Normalized Difference Vegetation Index (NDVI) (Rouse et al., 1973), which is strongly correlated with biomass.

3 OUR FRAMEWORK

We propose a framework to analyze SITS using data mining techniques. The SITSMining framework (Satellite Image Time Series Mining) is organized into three layers: *Extraction and Preprocessing*, *Data Mining* and *Output*, as shown in Figure 1. Each layer is composed by one or more modules, detailed in the following sections. The framework input includes SITS, regions of interest and training set, as follows:

- **Satellite Image Time Series (SITS):** a set of D

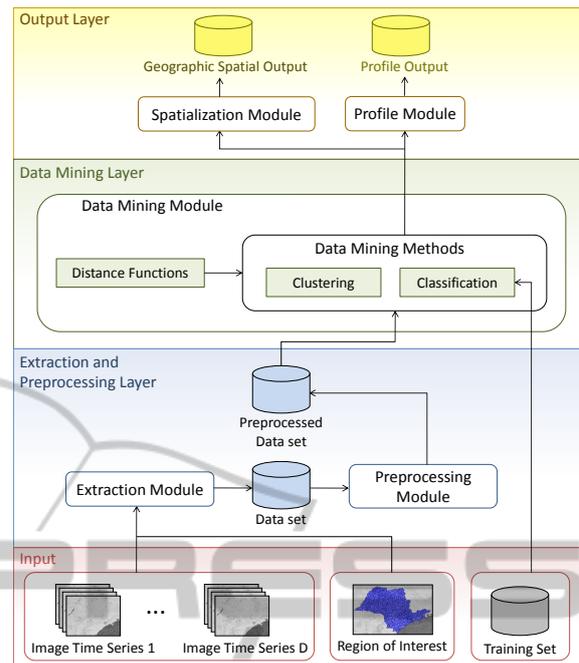


Figure 1: Framework components and data flow.

series of n satellite images. Each image must have been already preprocessed and georeferenced to allow further data extraction. Also, each series should have images of the same type, ordered in time scale and acquired in regular time intervals.

- **Region of Interest:** a list of p pairs of latitude and longitude coordinates indicating the region of interest. Each pair of coordinates references one single pixel of image, from which the data will be extracted. Note that the region of interest is the same for all images of the time series.
- **Training Set (for Classification Task):** a set of m elements composed by two attributes: 1) a multivariate time series and 2) a label value. Also, the training set can hold the information of latitude and longitude for every element, if they have been extracted from pixels of satellite images.

Initially, the region of interest, which contains the coordinates of the real areas we want to analyze, are combined with the satellite image time series, so we can identify the pixels related to those areas in each image of the series, and extract data from them. In the Extraction and Preprocessing Layer, the data is extracted from the satellite images, and the dataset is preprocessed in order to remove noises and normalize the time series. The preprocessed dataset is then sent to the Data Mining Layer, where the data mining analysis is performed. It is important to note that the training set, given as input will only be used in the Data Mining Layer. Finally, the Output Layer receives the

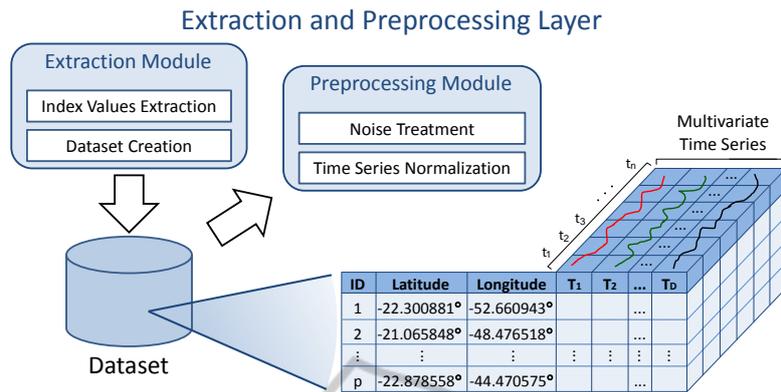


Figure 2: Extraction and Preprocessing Layer modules and the dataset structure.

data mining results and yields formatted outputs that allow useful visualization of the discovered patterns, such as spatial visualization of clusters.

3.1 Extraction and Preprocessing Layer

The Extraction and Preprocessing Layer consists of two main modules, as illustrated in Figure 2: 1) extraction module and 2) preprocessing module.

The extraction module is responsible for extracting the real valued time series from the input SITS and building the dataset that will be used along the entire framework. Each dataset element is created based on one pair of coordinates of the region of interest, by extracting data from the pixel referenced by these coordinates, in all images of the series. For all elements, one real value is obtained from each image given as input, and these values are organized as time series. The time series data points extracted from the image series are calculated using indices, such as vegetation indices. This extraction can be performed using softwares such as ENVI¹ or libraries that work with geospatial data, such as GDAL².

The index to be used depends on the type of satellite image analyzed, and should be provided by experts in the domain of application.

The attributes of each element are (Figure 2):

- **Id:** an integer value used to identify the element in the dataset.
- **Latitude:** the latitude coordinate of the area represented by the selected pixel.
- **Longitude:** the longitude coordinate of the area represented by the selected pixel.
- **Multivariate Time Series:** a multivariate time series is extracted from the SITS:

$T = \{t_1, \dots, t_i, \dots, t_n\}$, with $i \in [1, n]$, in which t_i correspond to a D -dimensional vector, whose data points t_{ij} , $j \in [1, D]$, indicates the value of the selected pixel in the image i of the SITS j .

After building the dataset, the data is forwarded to the preprocessing module. In satellite images, the occurrence of noise caused by failures in the measurement process, or presence of clouds over the area of interest is very common and even expected by the experts. In the resulting time series t_i , noise can be read as a very high or low unusual real value, or a specific value returned by the sensor, that indicates the reading error. Here, we are interested in replacing the noise with valid values instead of removing it, so we can keep the corresponding time series complete. In this case, one possible approach is to use a technique to fill in the noisy value with an estimate (Keogh and Pazzani, 1998).

Another issue treated in the preprocessing module is the time series normalization. Since each image time series may have been defined by a different type of image, the extraction of the corresponding indices may result in time series with distinct ranges of values. Thus, all time series values are normalized, making them comparable when using a distance function appropriate to multivariate time series.

In some studies (Freitas et al., 2011), outliers detection and smoothing techniques are applied to replace outliers by new values that fit under a smoother time series or function. In our framework, we maintain these outliers, because in some domains of application, the uncommon behavior of the original time series extracted from the satellite images can be useful to the data mining analysis, such as clustering or classification.

¹<http://www.exelisvis.com>

²<http://www.gdal.org/>

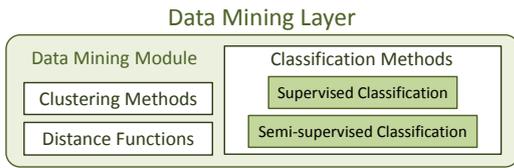


Figure 3: Data Mining Layer and its components.

3.2 Data Mining Layer

Initially, in the Data Mining Layer, two data mining tasks are implemented: clustering and classification. Each task has a specific submodule and allows the addition of new data mining algorithms at anytime. Figure 3 illustrates the data mining module.

The classification submodule includes supervised and semi-supervised classification methods. Despite the differences between these two classification approaches, both receive the dataset and the training set as input, and assign a label value to each dataset element, corresponding to its class value. In the clustering analysis, only the dataset is needed as input, and the output yielded indicates the cluster each dataset element is assigned to.

Most classification and clustering methods require a distance function to calculate the dissimilarity between pairs of objects. In our framework, the distance functions need to be compatible with multivariate time series, such as the Euclidean distance, DTW and LCSS. Other multivariate time series distance functions can be added in the distance function submodule at anytime.

3.3 Output Layer

The data mining results are sent to the Output Layer, where they are transformed into two different types of formatted output: spatial visualization (spatialization module) and profile visualization (profile module), as shown in Figure 4.

The spatialization module produce an output that allows a geographic spatial visualization of the results, in which each pixel of the region of interest is plotted based on its latitude and longitude values, and colored according to the label assigned to it in the data mining process (the label could be a cluster or class value). Therefore, the output of the spatialization module is a set of p elements, with three attributes: 1) latitude; 2) longitude; and 3) label. This visualization is useful to the experts analysis, because they are able to view the geographic spatial display of the patterns found in the data mining process.

In the profile module, for each cluster or class present in the data mining results, the objective is to

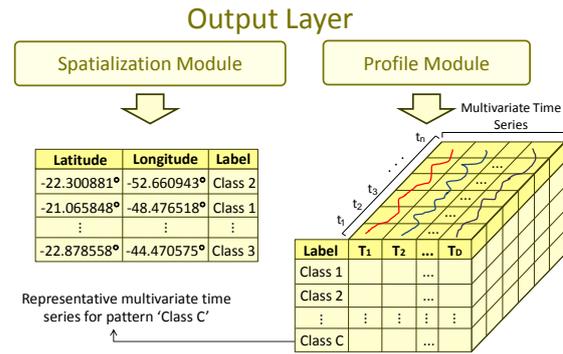


Figure 4: Output Layer modules and the output structure.

plot a representative time series profile. In the clustering analysis, a representative profile to the cluster could be its centroid or medoid, or an average valued time series for each class, in the classification case. To provide this type of visualization, the output must hold, for each pattern, the label related to it, and the multivariate representative time series calculated for this pattern. Figure 4 shows the structured outputs yielded by the two modules of the Output Layer.

A SITSMining framework prototype is being developed as an extension of the SatImagExplorer system, a tool to extract time series from a SITS, analyze these temporal data and visualize the results geospatially (Chino et al., 2011). The SatImagExplorer system was developed using C++ and the Qt framework³, and is organized in a modular architecture. Once the tool provides the extraction and visualization functions, we intend to implement the SITSMining framework into the SatImagExplorer system, so the entire process, as well as the input and output of the framework can be handled under the same platform.

As the current version of the SatImagExplorer allows the user to open only one sequence of satellite images to the extraction of time series, it yields one univariate time series per coordinate of the images. Thus, the tool is currently limited to the one-dimensional time series case. We aim to extend the tool features, in order to allow the extraction of multidimensional time series from many satellite image time series. In the next section, we show experiments based on the SITSMining framework and its current prototype implemented in SatImagExplorer.

4 EXPERIMENTAL RESULTS

We performed experimental studies in order to show the utility of the proposed framework analysis and its applicability to real domains such as agriculture. For

³<http://qt.digia.com>

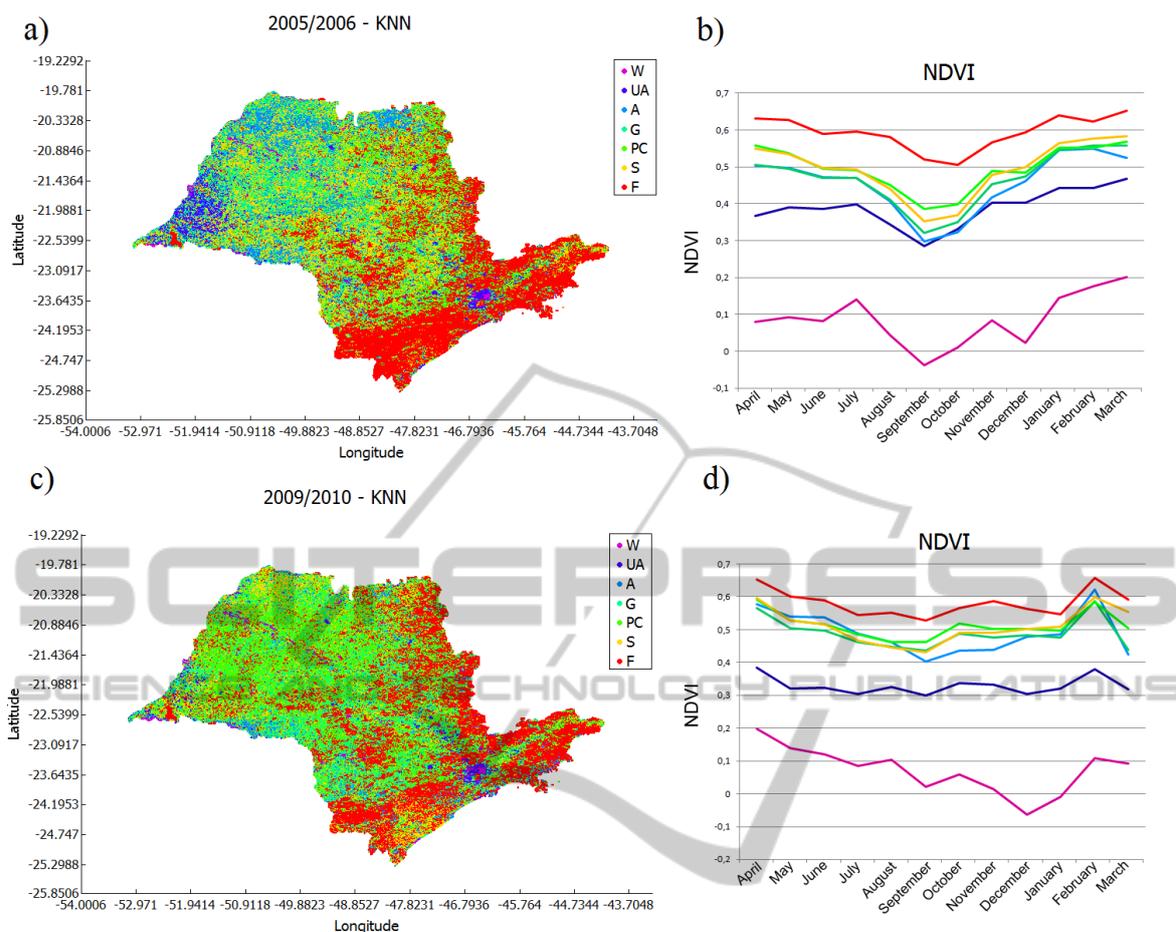


Figure 5: Geographic spatial and profile visualization of classification results.

the data mining analysis, two traditional algorithms, K-Means and KNN (K-Nearest-Neighbors) (Han and Kamber, 2000), were used. It is important to note that the objective of the experiments was not to perform a comparison between the algorithms, but to show the potential of the data mining analysis combined with the SITSMining framework.

The satellite images we studied were generated by AVHRR sensors, aboard of NOAA satellites, and have low spatial resolution, each pixel corresponding to a 1km² real area. The region of interest is composed by 220,238 pairs of coordinates and refers to the Sao Paulo state, Brazil.

Three types of AVHRR/NOAA images were considered⁴: NDVI, Albedo and Surface Temperature. The NDVI is a vegetation index widely used in agriculture, because it indicates biomass values of a given area. In forest areas, for example, NDVI values are usually very high, in contrast to urban or soil areas, that present low NDVI. Albedo measures the level of

⁴Satellite images provided by CEPAGRI-UNICAMP.

light reflectivity of a given area (Csiszar and Gutman, 1999). Considering these three satellite image time series, two experiments were performed:

- **Experiment 1:** classification of one-dimensional (univariate) time series datasets, extracted from NDVI SITS, using algorithm KNN with DTW.
- **Experiment 2:** clustering of two-dimensional (multivariate) time series datasets, extracted from Albedo and Surface Temperature SITS, using algorithm K-Means with DTW.

For each experiment, two datasets were used, considering two different sugarcane crop seasons: 2005/2006 and 2009/2010. For each season, twelve monthly satellite images were considered, corresponding to a one-year period, totalizing 12 data points for each time series, from April to March.

In the first experiment, as required for the classification task, we used a training set provided by experts in agrometeorology, containing 65 examples of 7 different types of areas:

- **Water (W):** 6 examples.

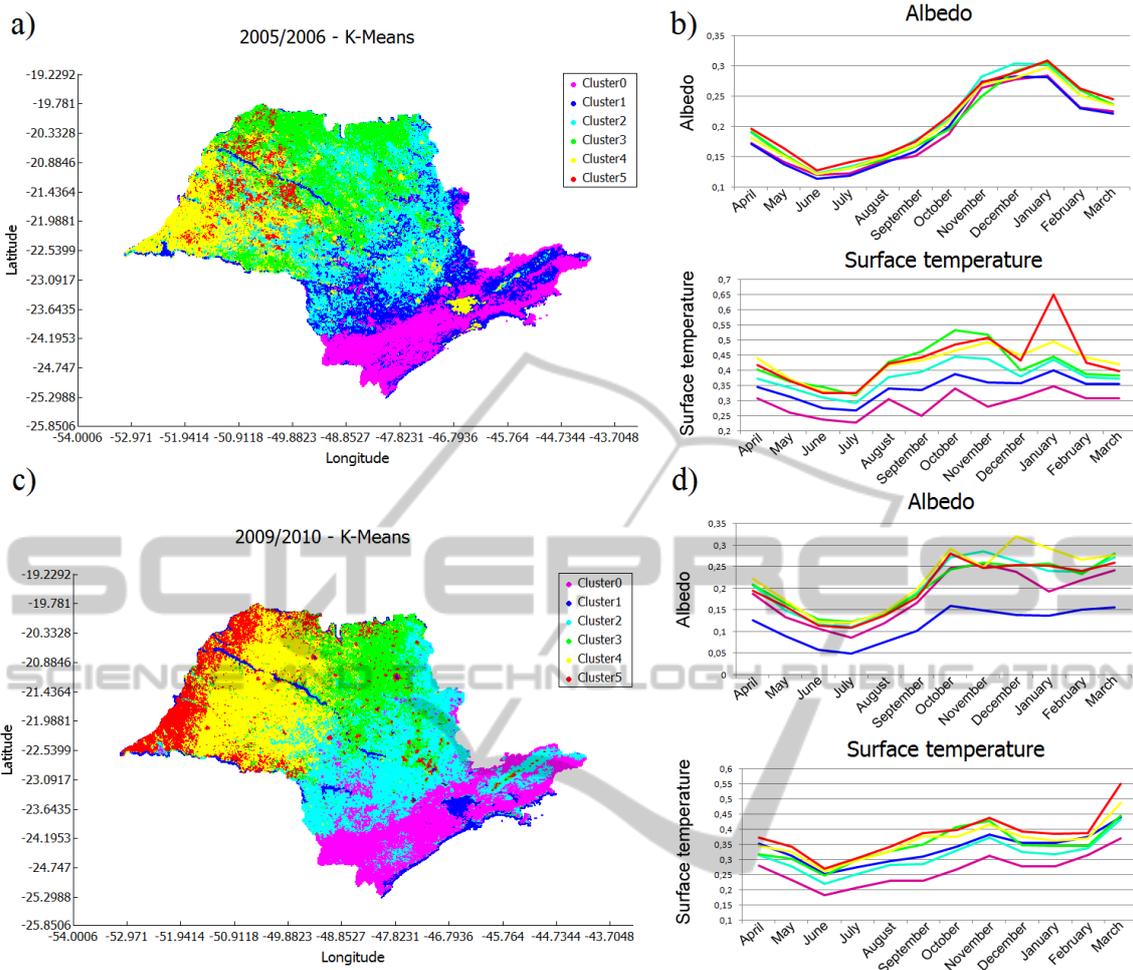


Figure 6: Geographic spatial and profile visualization of clustering results.

- **Urban area (UA):** 10 examples.
- **Agriculture (A):** 9 examples.
- **Grassland (G):** 14 examples.
- **Perennial Crop (PC):** 9 examples.
- **Sugarcane (S):** 8 examples.
- **Forest (F):** 9 examples.

Besides the class (or label) value, the training set examples have the same attributes as the dataset NDVI elements, which contains a pair of coordinates (latitude and longitude) and a one-dimensional NDVI time series of length 12. Figure 5 illustrates the classification results of the first experiment. The spatial geographic visualization of the labeled areas in Sao Paulo state is shown in Figure 5a) and c) and the profile visualization is shown in Figure 5b) and d) for the 2005/2006 and 2009/2010 season, respectively. The average time series of each class were chosen as representative for the profile visualization.

In the classification analysis, Forest (F), Urban area (UA) and Water (W) areas were correctly labeled, according to the experts. Most of the Atlantic Forest, located near to the Sao Paulo state coast (at southeast) was assigned to the Forest class, represented by the red colored pixels of the spatial geographic visualization. As forests have high concentration of vegetation and biomass, these areas present elevated NDVI values the whole season, as shown by the red colored representative time series, in the profile visualization. On the other hand, urban and water areas, represented by the purple and pink profiles, present low NDVI values along the entire year due to their lack of vegetation concentration.

The classification results for the tillable areas (Agriculture, Perennial crop and Sugarcane) and Grassland were less accurate, probably because different crops present similar NDVI values at some phase in the vegetative crop cycle. According to the experts, even with some labeling mistakes, the clas-

sification analysis is useful because it was possible to separate agricultural areas from non-agricultural, such as water, forest and urban areas.

In the second experiment, we performed the clustering analysis of the same datasets. The spatial geographic visualization of the clustering results is shown in Figure 6a) and c) and the profile visualization is shown in Figure 6b) and d). The representative time series chosen were the centroid of each cluster.

According to the experts, the Albedo variable was useful to separate water areas from the other targets, but was not sufficient to distinguish areas with different vegetation cover. The clustering of the other areas was defined mainly by the Surface Temperature variable, being higher for targets with lower canopy, for example, urban areas and exposed soil, and lower for forest regions, such as the Atlantic Forest areas. The cluster configuration varied from year to year because the weather also varied over the last decade, influencing the values of Surface Temperature.

5 CONCLUSION

This paper presented the SITSMining framework, an automated solution to data mining based analysis of satellite image time series. As the need for knowledge discovery in large remote sensing databases grows, the framework is shown as a powerful computational tool for the experts, as it provides resources such as data extraction from multitemporal satellite images, analysis of large datasets through data mining techniques and output formatting in an integrated environment. Because of its modular architecture, the framework allows the addition of new methods for noise replacement, classification and clustering based analysis, output formatting, as well as the incorporation of new data mining task modules.

The experimental analysis performed shows that the framework is useful to support researches in agriculture domain of application, even considering low spatial resolution satellite images. In future work, we aim to fully integrate the SITSMining framework to the SatImagExplorer tool, to provide for the experts in agrometeorology, the possibility to perform extraction of time series from multitemporal satellite images, data mining analysis and output visualization in an integrated system under the same platform.

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