

# An Automated Approach of Test Case Generation for Concurrent Systems from Requirements Descriptions

Edgar Sarmiento, Julio C. S. P. Leite, Noemi Rodriguez and Arndt von Staa  
*Department of Informatics, PUC-Rio, Rio de Janeiro, Brazil*

**Keywords:** Requirements, Testing, Concurrency Testing, Scenario, Lexicon.

**Abstract:** Concurrent applications are frequently written, however, there are no systematic approaches for testing them from requirements descriptions. Methods for sequential applications are inadequate to validate the reliability of concurrent applications and they are expensive and time consuming. So, it is desired that test cases can be automatically generated from requirements descriptions. This paper proposes an automated approach to generate test cases for concurrent applications from requirements descriptions. The Scenario language is the representation used for these descriptions. Scenario describes specific situations of the application through a sequence of episodes, episodes execute tasks and some tasks can be executed concurrently; these descriptions reference relevant words or phrases (shared resources), the lexicon of an application. In this process, for each scenario a directed graph is derived, and this graph is represented as an UML activity diagram. Because of multiple interactions among concurrent tasks, test scenario explosion becomes a major problem. This explosion is controlled adopting the interaction sequences and exclusive paths strategies. Demonstration of the feasibility of the proposed approach is based on two case studies.

## 1 INTRODUCTION

Initial requirements descriptions are appropriate inputs to start the testing process, by reducing its cost and increasing its effectiveness (Heumann, 2001; Heitmeyer, 2007; Denger and Medina, 2003). UML models are widely used to specify requirements; however test cases generated from these models are usually described at high level, and commonly it is necessary to refine them because external inputs (conditions required to execute test scenarios) are not explicit. And, most of them do not deal with concurrency problems. In concurrent applications, tasks interact with each other and problems can arise from these interactions.

Although concurrent applications are frequently written, there are no systematic approaches for testing them. Methods for sequential applications are inadequate to validate the reliability of concurrent applications because of particular characteristics such as interactions among tasks: synchronizations, communications and waits (Katayama et al., 1999).

Due to multiple interactions among concurrent tasks, it is difficult to derive and exercise all test scenarios. Some path analysis methods (Shirole and Kumar, 2012; Katayama et al., 1999; Sapna and

Hrushiksha, 2008; Yan et al., 2006) generate sequential test paths and combine them to form concurrent test scenarios. Because of irrelevant combinations, test scenario explosion becomes a major problem and besides, not all concurrent test scenarios are feasible.

The execution of concurrent test scenarios makes explicit potential problems raised by interactions between tasks (Katayama et al., 1999; Sapna and Hrushiksha, 2008). There is an interaction when 2 (or more) tasks T1 and T2 access or modify a shared resource "v", then, the execution order of T1 and T2 will impact the final result. If a test scenario is executed with an expected output, test case passes. If a test scenario is not executed or executed with unexpected output, test case fails, and it could hide interaction problems between tasks.

In this context, the Scenario language (Leite et al., 2000) could be used to describe concurrent applications through concurrent episodes; relevant words or phrases of the application (Lexicon) referenced into scenario: (1) make explicit input data and conditions from initial requirements descriptions, (2) represent shared resources accessed or modified by concurrent tasks, (3) make explicit the interactions by shared resources between concurrent tasks. This information can be also used

to derive and reduce the number of test scenarios.

This paper proposes an automated approach to generate test cases for concurrent applications from requirements descriptions written on Scenario and Lexicon languages. In this process, for each scenario a directed graph is derived (represented as an UML activity diagram). This diagram is used for the generation of test scenarios using graph-search and path-combination strategies, irrelevant test scenarios are filtered adopting the interaction sequences and exclusive paths strategies (See Section III).

The details of our proposal are presented in 6 Sections, from the description of the languages, the strategy we propose and the case study, to the related work and conclusions.

## 2 SCENARIO AND LEXICON

In this section we will describe the languages proposed by Leite et al., (2000) and used in requirement engineering to model requirements.

*Language Extended Lexicon (LEL)* is a language designed to help the elicitation and representation of the language used in the application. This model is based on the idea that each application has a specific language. Each symbol in the lexicon is identified by a *name* or names (*synonyms*) and two descriptions: *Notion* explains the literal meaning - what the symbol is, *Behavioral Response* describes the effects and consequences when the symbol is used or referenced in the application. Symbols are classified into four types: *Subject*, *Object*, *Verb* and *State*. Table 1 shows the properties of a LEL symbol.

In (Gutiérrez et al., 2006; Binder, 2000) and (Sparx, 2011), relevant terms of the application are described only by the name attribute as *operational variables* and as project *glossary terms*.

Table 1: Symbol definition in lexicon language.

<b>Name</b>	Symbol of LEL.
<b>Type</b>	Subject/Object/ Verb/State
<b>Synonymous</b>	Term of LEL/Entry/Symbol
<b>Notion</b>	Word or relevant phrase of the <u>Universe of Discourse</u> . It's described by <u>Name</u> , <u>Type</u> , <u>Notion</u> , <u>Synonymous</u> and <u>Behavioral Response</u> .
<b>Behavioral Response</b>	Its description contains the <u>Type</u> . It has zero or more <u>Synonymous</u> .

*Scenario* is a language used to help the understanding of the requirements of the application, it's easy of understand by the developers and clients. *Scenarios* represent a partial description of the application behavior that occurs at a given moment in a specific geographical context - *a situation* (Leite et al., 2000; Letier et al., 2005).

There are different models of scenario (Leite et

al., 2000; Letier et al., 2005). In this work, the scenario model is based on a semi-structured natural language (Leite et al., 2000), and it is composed of the entities described in Table 2.

Use case (Cockburn, 2001) is a particular model of scenario; however, use case represents specific situations between the user and the system through interface. Scenario describes: situations in the environment and the system; interactions among objects or modules; procedures or methods. Table 2 explains how a *scenario* (Leite et al., 2000) can be also used as a *use case* (Cockburn, 2001).

Table 2: Comparing scenario and use case.

Scenario	Description	Use Case
Title	Identifies the scenario. Must be unique.	Use Case #
Goal	Describe the purpose of the scenario.	Goal In Context
Context	Describes the scenario initial state.	Scope
	Must be described through at least one of these options: precondition, geographical or temporal location.	Level
Resources	Passive entities used by the scenario to achieve its goal.	Preconditions
	Resources must appear in at least one of the episodes.	Trigger
Actors	Active entities directly involved with the situation.	Actors
	Actors must appear in at least one of the episodes.	
Episodes	Sequential sentences in chronological order with the participation of actors and use of resources.	Description
Exception	Situations that prevent the proper course of the scenario.	Extensions
	Its treatment should be described.	Sub-Variations
Constraint	Non-functional aspects that qualify/restrict the quality with which the goal is achieved. These aspects are applied to the context, resources or episodes.	

A scenario must satisfy a goal that is reached by performing its *episodes*. *Episodes* represent the main course of actions but they also include alternatives. *Episodes* are: *Simple episodes* are those necessary to complete the scenario; *Conditional episodes* are those whose occurrence depends on a specified condition (*IF* <Condition> *THEN* <Episode Sentence>); *Optional episodes* are those that may or may not take place depending on conditions that cannot be detailed ([<Episode Sentence>])

A sequence of episodes implies a precedence order, but a *non-sequential* order can be bounded by the symbol "#", it is used to describe parallel or *concurrent* episodes (#<Episode Series>#).

While performing episodes, *exceptions* may arise. They (*Cause*[(*Solution*))] are any event arose from an episode and treated by a *Solution*, it hinders the execution of the episodes. An *alternative flow* can be represented as a conditional episode (*IF THEN*), or as an *exception*, where *cause* is the *condition* and the *solution* is described as a simple sentence or in other *sub-scenario* (alternative flow).

Scenarios are related to other scenarios by *sub-scenarios*, which describes complex *episodes*, *solutions* to *exceptions*, *constraints*, *pre-conditions* and *alternative flow of actions*.

Lexicon symbols are referenced into scenario descriptions; underlined UPPERCASE words or phrases are other scenarios and underlined lowercase

words or phrases are lexicon symbols.

Table 3 describes a scenario of an ATM system (Khandai et al., 2011). Here, an ATM machine interacts with two other entities: The Customer and the Bank. The customer starts the request by inserting his/her card. The ATM must verify the card and the personal identification number (PIN) to proceed. If the verification fails the card is ejected. Otherwise, the customer can perform some operations and the card is retained in the machine until the user finishes the transactions. Card verification and PIN entering are done concurrently.

Table 3: Balance withdraw scenario of ATM system.

<b>Title</b>	BALANCE WITHDRAW
<b>Goal</b>	Withdraw the <i>balance</i> from a valid <i>bank account</i> .
<b>Context</b>	<b>Geographical location:</b> an ATM machine <b>Pre-conditions:</b> The <i>bank</i> Customer must possess a <i>bank card</i> .
<b>Resources</b>	<i>ATM Card</i> , <i>PIN</i> , <i>Account operation</i> , <i>Balance</i>
<b>Actors</b>	<i>Customer</i> , <i>ATM Machine</i> , <i>Bank</i> .
<b>Episodes</b>	1. <i>Customer</i> inserts an <i>ATM Card</i> 2. # <i>ATM machine</i> verifies the <i>Card</i> in the <i>Bank</i> 3. <i>Customer</i> inserts the <i>PIN</i> . # 4. <i>ATM machine</i> verifies the <i>PIN</i> . 5. <i>ATM machine</i> displays <i>customer account</i> and prompts the customer to choose a type of Transaction. 6. <i>ATM machine</i> verifies the <i>Account operation</i> . 7. verify <i>balance</i> in the <i>Bank</i> . 8. <i>ATM machine</i> display pick cash
<b>Exceptions</b>	2.1. <i>Card is not valid</i> (Eject <i>Card</i> ). 4.1. <i>PIN is not valid</i> (Notify to <i>Customer</i> ). 6.1. <i>Account operation = Account affirm</i> (Show account details). 7.1. <i>Balance is not Ok</i> (Display insufficient <i>balance</i> ).

### 3 PROPOSED APPROACH

This section describes the activities for automation of test case generation process from requirements descriptions (Figure 1).

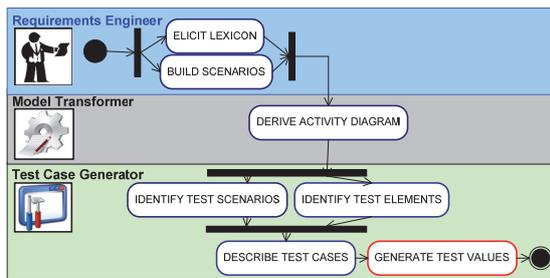


Figure 1: Workflow of our test case generation approach.

Requirements engineers start it by describing requirements as *scenarios* and the relevant words or phrases of the application as *lexicon symbols* (Leite et al., 2000). Initially, scenarios are described using natural language; these scenarios are transformed in activity graphs. Graph paths are generated from interactions among *episodes*, *exceptions* and *constraints* of a scenario. This graph is used for the generation of initial test scenarios using *graph-*

*search* and *path-combination* strategies. Scenario descriptions reference lexicon symbols and they represent the *input variables*, *conditions* and *expected results* of *test cases*. *The generation of test values is not covered by this work.*

State machine derivation from scenario facilitates the validation of models because the user/client can monitor the requirements execution (Damas et al., 2005; Letier et al., 2005), and the derivation of consistent test cases because behavioral models increase the test coverage (Sparx, 2011; Katayama et al., 1999).

### 3.1 Building Lexicon and Scenarios

These tasks are carried out by requirements engineers, which start to elicit and describe relevant words or phrases of the application from different information sources. *Scenarios* are DERIVED and DESCRIBED from the lexicon of the application (actors); after it, scenarios are VERIFIED, VALIDATED and ORGANIZED. These tasks are not strictly sequential due to feedback mechanism present. There is a feedback when scenarios are verified and validated with the users/clients and are detected discrepancies, errors and omissions (DEOs), returning to DESCRIBE task.

### 3.2 Deriving Activity Diagram

This sub-section describes the steps to transform a scenario description in an activity diagram. Let  $AD = \{A, B, M, F, J, K, T, a_0\}$  be an activity diagram derived from scenario  $C = \{Title, Goal, Context, Resources, Actors, Episodes, Exceptions, Constraints\}$ .  $AD$  represents the visual behavior of  $C$  ( $AD \Leftrightarrow C$ ). Where  $A = \{a_1, a_2, \dots, a_i\}$  is a finite set of actions;  $B = \{b_1, b_2, \dots, b_w\}$  a set of branches;  $M = \{m_1, m_2, \dots, m_v\}$  a set of merges;  $F = \{f_1, f_2, \dots, f_y\}$  a set of forks;  $J = \{j_1, j_2, \dots, j_x\}$  a set of joins;  $K = \{k_1, k_2, \dots, k_w\}$  a set of final nodes;  $T = \{t_1, t_2, \dots, t_z\}$  a set of transitions which satisfies  $\forall t \in T, t = \langle c \rangle e \vee t = e$  where  $c \in C, e \in E, C = \{c_1, c_2, \dots, c_i\}$  is a set of guard conditions,  $E = \{e_1, e_2, \dots, e_s\}$  a set of edges of AD; and  $a_0$  is the unique initial state of AD.

According to (Sabharwal et al., 2011; Shirole and Kumar, 2012), an activity diagram is a directed graph  $G = (V, E)$  where  $V = \{A, B, M, F, J, K, a_0\}$  is a union of vertices and  $E = \{T\}$  is a set of transitions.

Figure 2 shows excerpt from the algorithm to transform a scenario description in an activity diagram. It starts by creating the initial node; it creates decision nodes for *constraints* defined in *context* and *resources*. For each *episode* of the main

flow: it creates an action node (action described in the episode), it creates decision nodes for *constraints*, it creates decision nodes (*causes*) and action node (*solution*) for *exceptions*, it creates decision and merge nodes for *conditional and optional episodes*, it creates *fork-join structures* for concurrent episodes bounded by the symbol “#”. Lexicon symbols (type: state) referenced into a scenario will allow the creation of decision nodes and transitions (and guard conditions) in the graph: *Conditions/options in conditional/optional episodes; causes in exceptions and constraints in the context, resources and episodes.*

**Input:** A scenario  $C = \{Title, Goal, Context, Resources, Actors, Episodes, Exceptions, Constraints\}$   
**Output:** An activity diagram  $AD = \{V, E\}$  where  $V = \{A, B, M, F, J, K, a_0\}$  and  $E = \{T\}$   
 /\*  $a_n \in A; b_n \in B; m_n \in M; f_n \in F; j_n \in J; k_n \in K; t_n \in T; V = \{A, B, M, F, J, K, a_0\}$  and  $E = \{T\}$  \*/  
 1: Create the “initial state node”  $a_0$  and the first transition  $t_1 \in T$ ;  
 2: if constraints in **Context** is NOT empty then  
     Create a decision node  $b_n \in B$  after  $a_0$ , and transitions  $t_1, t_{n+1} \in T$ ;  
 3: if constraints in **Resources** is NOT empty then  
     Create a decision node  $b_n \in B$  after previous node ( $b_{n-1}$  or  $a_0$ ), and transitions  $t_1, t_{n+1} \in T$ ;  
 4: for each episode  $\in$  **Episodes** do /\* Iterate episodes \*/  
 4.1: if episode starts with symbol “#” then Create a fork node  $f_n \in F$ ;  
 4.2: Create an action node  $a_n \in A$ ; whose name is the episode sentence;  
 4.3: if constraints in episode is NOT empty then  
     Create a decision node  $b_n \in B$  after action  $a_n$ , and transitions  $t_1, t_{n+1} \in T$ ;  
 4.4: if exceptions in episode is NOT empty then  
     for each exception  $\in$  exceptions in episode do /\* Iterate episode’s exceptions \*/  
         Create a decision node  $b_n \in B$  after previous node, and transitions  $t_1, t_{n+1} \in T$ ;  
 4.5: if episode is **CONDITIONAL** then  
     Create a decision node  $b_n \in B$  before previous action  $a_n$ , and transitions  $t_1, t_{n+1} \in T$ ;  
 4.6: if episode is **OPTIONAL** then  
     Create a decision node  $b_n \in B$  before previous node  $a_n$ , and transitions  $t_1, t_{n+1} \in T$ ;  
 4.7: if episode is **SIMPLE** then Link nodes after and before action node  $a_n \in A$   
 4.8: if episode ends with symbol “#” then Create a join  $j_n \in J$  and Link concurrent sub-paths;  
 5: Create the “final state node”  $k_n \in K$  and the last transition  $t_n \in T$ ;

Figure 2: Deriving activity diagram from scenario.

Figure 3 depicts the activity diagram derived from scenario described in Table 3.

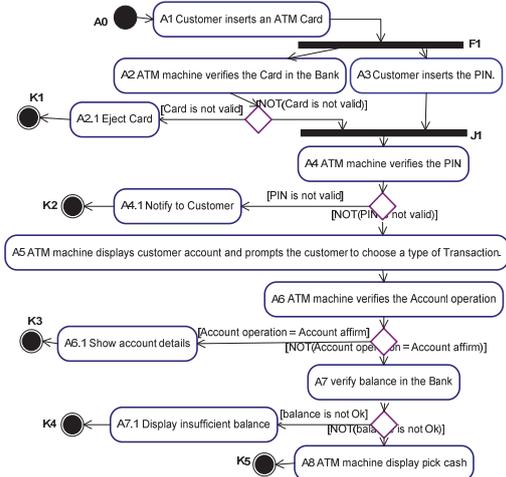


Figure 3: Activity Diag of ATM system balance withdraw.

### 3.3 Generating Test Cases

A *test case* is composed of a *test scenario*, *input variables* or *conditions* exercise a test scenario and

verify that the result satisfies a specific goal.

#### 3.3.1 Identifying Test Scenarios

If  $AD = \{V, E\}$  is an activity diagram derived from a *scenario C*, the different paths  $p_i \in P$  between the *initial state* and the *final nodes* of  $AD$  represent the finite set of *test scenarios*, so, a *test scenario (ts)* is a sequence of vertices and transitions of  $AD$ :

$$ts = path = p_i = a_0 t_0 a_1 t_1 \dots a_n t_n k \text{ where: } a_i \in V \setminus K \wedge t_i \in E \wedge k \in V \cap K, i=1,2,\dots,n.$$

For instance,  $p_2$  is a test scenario of Figure 3:

$p_2: A_0-A1-F1-A2-<Not(Card is not valid)>J1-A4-<PIN is not valid> A4.1-K2$

A *DFS (Depth-first search)* algorithm can be used to scan the finite set of sequential paths  $P$  on  $AD$ . These paths execute sequential test scenarios; however, for *concurrent applications*, the *DFS* must generate a set of *paths P*, and for each  $p_i \in P$  ( $p_i$  contains concurrent action nodes) must generate one or more finite set of concurrent *sub-paths*  $SP_{i,j}$ , where “ $i$ ” is the number of path  $p_i$  and “ $j$ ” is the number of fork-join structure on  $p_i$ . A *sub-path*  $sp \in SP_{i,j}$  is a sequence of vertices and transitions of  $AD$  between a *fork “f”* and a *join “j”* node:

$$sub-path = sp = t_x a_y t_z \text{ where: } a_y \in V \setminus K \wedge t_x, t_z \in E \wedge sp \subseteq p_i$$

For instance, in the Figure 3,  $SP_{2,1} = \{sp_1, sp_2\}$  is a set of concurrent *sub-paths* (between  $F1$ : *fork* and  $J1$ : *Join*) related to path  $p_2$ ,  $\Rightarrow$

$$sp_1 : - A2 - <Not(Card is not valid)> \\ sp_2 : - A6 -$$

Paths  $p_i$  execute concurrent sub-paths  $sp$  as sequential test scenarios (independent processes). The combination of sub-paths  $sp \in SP_{i,j}$  (between same fork-join nodes) and the replacement of them into  $p_i$  can generate concurrent test scenarios (Sabharwal et al., 2011; Katayama et al., 1999; Yan et al., 2006). If  $N_{sp}$  is the number of sub-paths of  $SP_{i,j}$ , then the number of combinations of size  $N_{sp}$  is:  $N_{sp}!$ . The number of combinations could be reduced when the interactions among sub-paths is specified.

There is an interaction when two (or more) sub-paths  $sp_m$  and  $sp_n$  access or modify a shared resource “ $v$ ”. Interactions are: (1) **Syncs** denote a set of all triplets of simultaneous execution of  $sp_m$  and  $sp_n$  in  $SP$  (*Synchronization*), (2) **Comms** denote a set of all triplets of *communications* from  $sp_m$  to  $sp_n$  in  $SP$  and (3) **Waits** denote a set of all triplets where  $sp_m$  *waits*  $sp_n$  in  $SP$ . So, the set of interactions is defined as (Katayama et al., 1999):

$$Interactions(SP) = \{Syncs(SP), Comms(SP), Waits(SP)\} = \{(sp_m, sp_n, v) | sp_m, sp_n \in SP\}$$

So, the proposed test scenarios derivation process depends on the *number of concurrent sub-paths*,

which interacts each other ( $h$ ). See Figure 5.

In concurrent applications described by scenarios, lexicon symbols (type: object) can be referenced by concurrent episodes. This Symbol(s) is a shared resource “ $v$ ” and usually, the value of “ $h$ ” is the number of concurrent episodes which reference a shared resource “ $v$ ”.

Let  $SP_{i,j}$  be a set of sub-paths,  $N_{sp}$  = the number of sub-paths of  $SP_{i,j}$  and  $GSP_{i,j}$  the set generated of the combination of the elements of  $SP_{i,j}$ . Then, the combination (variation)  $V(N_{sp}, h)$  of elements of  $SP_{i,j}$  will generate:  $|N_{sp}|^h$  combinations of size  $h$ .

If  $h$  is known, the number of combinations is reduced from  $N_{sp}!$  to  $N_{sp}! / (N_{sp} - h)! \Rightarrow |N_{sp}|^h \leq N_{sp}!$

If  $h = 1$ , then there are not interactions among concurrent processes (parallelism). When we don't know the interactions among processes:  $h = N_{sp}$ .

For instance, in the Figure 3  $SP_{2,1} = \{sp_1, sp_2\}$  is a set of concurrent sub-paths (between  $F1$ : fork and  $J1$ : Join) related to path  $p_2$ ,  $h = 2$  because the interactions are unknown, and  $N_{sp} = 2$ , so, the number of combinations is:  $V(N_{sp}, h) = V(2, 2) = 2$ .  $\Rightarrow$

$$GSP_{2,1} = \{gsp_1, gsp_2\}$$

$$gsp_1 : -A2 < \text{Not}(\text{Card is not valid}) > A3-$$

$$gsp_2 : -A3-A2 < \text{Not}(\text{Card is not valid}) >$$

If  $GSP_{2,1}$  is the set of combined sub-paths on  $SP_{2,1}$ , which must be replaced in path  $p_2$ . So,  $p_2$  will generate 2 new paths (concurrent test scenarios):

$p_{21} : A_0-A1-A2 < \text{Not}(\text{Card is not valid}) > A3-A4 < \text{PIN is not valid} > A4.1-K2$

$p_{22} : A_0-A1-A3-A2 < \text{Not}(\text{Card is not valid}) > A4 < \text{PIN is not valid} > A4.1-K2$

The number of combinations is also reduced when 2 or more sub-paths are arisen from a decision node; they cannot run concurrently and thus cannot be combined (exclusive sub-paths). For example, in Figure 4, paths  $p_2$  and  $p_3$  contain the same decision node  $b$ , then they are exclusive paths and the number of combined paths can be reduced from 6 to 4.

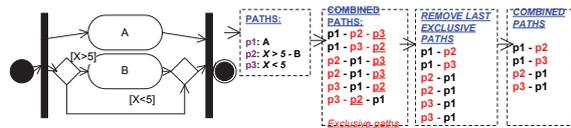


Figure 4: Exclusive paths.

Figure 5 shows the algorithm (adapted from Katayama et al., 1999) to generate test scenarios for concurrent applications described by an activity diagram. It starts by scanning all sequential paths on  $AD$  by  $DFS$ ; if a path contains fork-join nodes, it scans once more by  $BFS$  in order to get concurrent sub-paths between fork-join. Concurrent sub-paths obtained in previous step must be combined and replaced into sequential path obtained in first step. This algorithm implements the described restrictions; and, it satisfies the concurrent programs

coverage and adequacy criteria (Katayama et al., 1999; Sapna and Hrushikesh, 2008; Yan et al., 2006], and described to follow: (1) *Path Coverage Criterion*; each path in a model is executed at least once in testing. (2) *Interaction Coverage Criterion*; all interactions of a concurrent program are executed at least once in testing.

```

Input: An activity diagram AD=(V,E) where V={A,B,M,F,J,K,a0} and E={T};
      H = { h1, h2, ..., hn }, a set of number of concurrent sub-paths
      accessing shared resources for each set of sub-paths SP;
Output: P = {p1, p2, ..., pn}, a set of test scenarios.
0: P = ∅ ; /*Set of paths or test scenarios*/
   FJP = ∅ ; /*Temporal set of paths containing Fork&Join nodes*/
1: p = Find next path from the initial a0 to a final node k ∈ K by DFS on AD;
2: sp = Find next sub-path which the first node is a fork f and the last node is a
   join j and sp ⊆ p; /*Concurrent tasks*/
2.1: if sp is NOT empty then
   SP = Find all sub-paths spj between the nodes f and j by BFS on AD;
   h = Get next in H; /*Num. of sub-paths accessing shared resources in SP*/
2.2: /*Combine all sub-paths spj ∈ SP, SP = {sp1, sp2, ..., spm}*/
   GSP = ∅ ; /*Combined sub-paths GSP={gsp/gsp ⊆ SP ∧ [gsp] = [SP]}*/
   GSP = Generate Variations of size h of the sub-paths of the set SP;
   for each gsp ∈ GSP /*Update gsp*/
   gsp = gsp ∪ (SP \ gsp); /*Concatenate with the rest of elements of SP*/
   gsp = Keep only the 1st sub-path of a set of exclusive sub-paths;
   endfor
   GSP = Filter equal sub-paths on GSP to avoid redundant sub-paths;
2.3: FJP = Replace the sub-path sp found in Step2 with the combined sub-paths
   found in Step 2.2, and create new paths based on paths p ∈ FJP
   Go to Step 2; /*Find next concurrent sub-path sp on p*/
2.4: else /*sp is empty; else 2.1*/
   if FJP is not empty then
   P = P ∪ FJP; FJP = ∅ ; /*Join set of paths to combined paths*/
   else
   P = P ∪ {p}; /*Update set of paths*/
   endif
   Go to Step 1;
endif
3: P = Filter equal paths on P to avoid redundant paths;
    
```

Figure 5: Test scenarios from activity diagram.

### 3.3.2 Identifying Test Elements

Next step involves identifying input variables, conditions and expected results required to exercise the set of test scenarios. These elements are extracted from scenario descriptions.

**Identifying Input Variables (IT):** An Input Variable is a LEL symbol (object/subject) referenced by a scenario: (1) *Resources* of information provided by external actors or other scenarios; (2) referenced *Actors*; (3) *Options* to choose, optional episode ([Episode Sentence]) generate an input variable and two conditions: [OK|NOT] (Episode Sentence).

**Identifying Conditions (CD):** Constraints, conditions and causes defined into scenario are LEL symbols (type: state), which describes the different conditions for input variables (Binder, 2000). (1) If a resource/actor is not referenced by any constraint, condition or cause, then this symbol (object/subject) generates two conditions for testing: actor/resource = {valid, not valid}. The required condition into test cases must be valid. (2) If a resource/actor is referenced by one (or more) constraint, condition or cause, then this symbol (object/subject) is described

by these conditions: actor/resource = {*constraint*\*, *condition*\*, *cause*\*}. (3) If a resource/actor has a unique condition, then it is added the ELSE or NOT condition: actor/resource = {*constraint* | *condition* | *cause*, NOT (*constraint* | *condition* | *cause*)}.

**Identifying Expected Results (ER):** Initially, we have 2 expected results from the scenario *Goal*. The *Goal* is satisfied when the last episode is executed and it is not, when some constraint is not satisfied or some exception is arose (NOT *Goal*). The definition of validation actions for expected results is not covered by this work (Oracle), but the initial expected results could help to define these actions.

3.3.3 Describing Test Cases

The adopted template to describe *test cases* was proposed in (Binder, 2000; Heumann, 2001) and it is shown in Table 4. The input test values provided must satisfy the *conditions* of the input variables required to exercise a specific test scenario. The third cell of the Table 4 contains a [*Condition*] or [*N/A*]. *Condition* means that is necessary to supply a data value satisfying this condition. *N/A* means that is not necessary to supply a data value in this case.

Table 4: Template to describe test cases.

Test Case ID	Test Scenario	Input Test	Expected Result
		[ <i>Condition</i> ] / [ <i>N/A</i> ]	

4 CASE STUDIES

In this section, we describe two small case studies using the proposed approach. These describe interactions among concurrent activities; so, test cases derived should be able to uncover communication, waiting and synchronization errors.

**Balance Withdraw of ATM System** (Khandai et al., 2011): Table 3 shows a *scenario* for this operation. The steps to complete the *scenario* were described by episodes. Lexicon symbols were identified while scenarios were being built; e.g., ATM Card (object), ATM Card is not valid (state) and Customer (subject).

An activity diagram (See Figure 3) was derived from scenario described in Table 3. IDs of the action nodes are the same specified in the episodes and exceptions into scenario, e.g., concurrent episodes 2 and 3 are named like “A2 ATM machine verifies the Card” and “A3 Customer inserts the PIN”. In this scenario; we have 8 episodes, which generate 8 action nodes (A1 to A8); 4 exceptions, which generate 4 action nodes (A2.1, A4.1, A6.1 and

A7.1); 1 sequence of concurrent episodes (A2 and A3) which generate 1 fork-join structures.

The different paths of the activity diagram (Figure 3) will exercise a test scenario. In Figure 3, we have 1 fork-join structure (*F1-J1*); it executes 2 concurrent sub-paths (A2 and A3). In this case, the interactions among concurrent sub-paths are not explicit, so, it’s necessary to combine the sub-paths in order to test all interactions among them. We have 2 concurrent sub-paths (*F1-J1* ⇒ *hl* = 2). Figure 6 shows the set of concurrent test scenarios generated by our combination strategy.

p1: A0-A1-A2 <Card is not valid> A2.1-K1
p2: A0-A1-A2 <Not(Card is not valid)> A3-A4 <PIN is not valid> A4.1-K2
p3: A0-A1-A3-A2 <Not(Card is not valid)> A4 <PIN is not valid> A4.1-K2
p4: A0-A1-A2 <Not(Card is not valid)> A3-A4 <Not(PIN is not valid)> A5-A6 <Account operation = Account affirm> A6.1-K3
p5: A0-A1-A3-A2 <Not(Card is not valid)> A4 <Not(PIN is not valid)> A5-A6 <Account operation = Account affirm> A6.1-K3
p6: A0-A1-A2 <Not(Card is not valid)> A3-A4 <Not(PIN is not valid)> A5-A6 <Not(Account operation = Account affirm)> A7 <Balance is not Ok> A7.1-K4
p7: A0-A1-A3-A2 <Not(Card is not valid)> A4 <Not(PIN is not valid)> A5-A6 <Not(Account operation = Account affirm)> A7 <Balance is not Ok> A7.1-K4
p8: A0-A1-A2 <Not(Card is not valid)> A3-A4 <Not(PIN is not valid)> A5-A6 <Not(Account operation = Account affirm)> A7 <Not(Balance is not Ok)> A8-K5
p9: A0-A1-A3-A2 <Not(Card is not valid)> A4 <Not(PIN is not valid)> A5-A6 <Not(Account operation = Account affirm)> A7 <Not(Balance is not Ok)> A8-K5

Figure 6: Test scenarios for ATM system.

The input variables and conditions that exercise the test scenarios are extracted from scenario described in Table 3. The *input variables (IT)* are extracted from resources (e.g., *ATM Card*, *PIN*, *Balance* and *Account operation*) and from actors (e.g., *Customer*, *ATM Machine* and *Bank*). Table 5 shows the *conditions (CD)* extracted from the exceptions. And, *the initial set of expected results (ER) for the main flow and the exceptions* were extracted from the “Goal”: *Withdraw the balance* and NOT *Withdraw the balance*.

Table 5: Input variables and conditions.

ID Variable	Variable	Condition (Category)
V1	ATM Card	Card is not valid   Not(Card is not valid)
V2	PIN	PIN is not valid   NOT(PIN is not valid)
V3	Account operation	Ac. operation = Account affirm   Not(Ac. operation = Account affirm)
V4	Balance	Balance is not Ok   NOT(balance is not Ok)
V5	Customer	Valid   NOT Valid
V6	ATM Machine	Available   NOT Available
V7	Bank	Available   NOT Available

Table 6 shows the test cases generated for an “ATM System” scenario. From input variables and conditions, we can generate *representative values* for testing. This process will require human intervention and our approach leaves this open.

**Shipping Order System** (Sabharwal et al., 2011): Table 7 shows a *scenario* to complete an order sent by a customer. Underlined lowercase words or phrases are symbols of lexicon, e.g., Stock (object), Stock not available (state) and Customer (subject).

Table 6: Test cases generated from scenario (Table 3).

TCID	ISID	Input Test (Condition) / [N/A]							Expected Result
		V1	V2	V3	V4	V5	V6	V7	
TC1	p1	Card is not valid	N/A	N/A	N/A	Valid	Avail.	Avail.	Not Withdraw the balance
TC2	p21	Not(Card is not valid)	PIN is not valid	N/A	N/A	Valid	Avail.	Avail.	Not Withdraw the balance
TC3	p22	Not(Card is not valid)	PIN is not valid	N/A	N/A	Valid	Avail.	Avail.	Not Withdraw the balance
TC4	p31	Not(Card is not valid)	Not(PIN is not valid)	Account operation = Account affirm	N/A	Valid	Avail.	Avail.	Not Withdraw the balance
TC5	p32	Not(Card is not valid)	Not(PIN is not valid)	Account operation = Account affirm	N/A	Valid	Avail.	Avail.	Not Withdraw the balance
TC6	p41	Not(Card is not valid)	Not(PIN is not valid)	Not(Account oper. = Account affirm)	Balance is not Ok	Valid	Avail.	Avail.	Not Withdraw the balance
TC7	p42	Not(Card is not valid)	Not(PIN is not valid)	Not(Account oper. = Account affirm)	Balance is not Ok	Valid	Avail.	Avail.	Not Withdraw the balance
TC8	p51	Not(Card is not valid)	Not(PIN is not valid)	Not(Account oper. = Account affirm)	Not(Balance is not Ok)	Valid	Avail.	Avail.	Withdraw the balance
TC9	p52	Not(Card is not valid)	Not(PIN is not valid)	Not(Account oper. = Account affirm)	Not(Balance is not Ok)	Valid	Avail.	Avail.	Withdraw the balance

Table 7: Shipping order system scenario.

<b>Title</b>	SHIPPING ORDER SYSTEM
<b>Goal</b>	Complete the requested Order by a Customer.
<b>Context</b>	- Pre-conditions: customer SEND ORDER.
<b>Resources</b>	Stock, Payment.
<b>Actors</b>	Customer, System.
<b>Episodes</b>	1. System RECEIVE ORDER. 2. System CHECK STOCK. 3. FILL ORDER. 4. # PACK ORDER. 5. Customer MAKE PAYMENT. # 6. # SHIP ORDER. 7. ACCEPT PAYMENT. # 8. System CLOSE ORDER.
<b>Exceptions</b>	2.1. Stock not available (NOTIFY CUSTOMER). 5.1. Payment not received (CANCEL ORDER).

## 5 RESULTS

**Balance Withdraw of ATM System** (Khandai et al., 2011): A2 “Card verification” and A3 “PIN entering” are done concurrently. When an exception is arose or the Card is not valid (A2), a communication problem must be detected by the ATM system because A3 waits by a signal from A2 to complete. It is detected in test case “TC1”.

Although A2 and A3 are done concurrently, there is communication (interleaving) among them because they send and receive signals to completion. A3 Customer enters the card PIN process waits by A2 Card verification process to completion. These communication problems are tested in test cases TC3, TC5, TC7 and TC9. TC2, TC4, TC6 and TC8 are executed with right communication order.

**Shipping Order System** (Sabharwal et al., 2011): A4 “PACK ORDER” and A5 “MAKE PAYMENT” are done concurrently. When the Payment is not received (A5), a communication problem is detected by the system because A4 waits by A5 to complete. This problem is detected by our approach. Sabharwal et al. (2011) detected only one test scenario because it is based on priority.

Table 8 presents a summary of the obtained results for the ATM System and Shipping Order scenarios; these studies detected 4 interactions more than Khandai et al. (2011), and 6 more than

Sabharwal et al. (2011). These are the communication errors between concurrent process.

These studies demonstrate that the lexicon symbols referenced into scenario allow us to detect interaction among concurrent tasks and reduce the number of test scenarios, leading us to believe that our approach is also an efficient alternative to generate test cases for concurrent applications.

Table 8: Comparing results.

Approach	Case Study	#Test Scenarios	Comms	Waits	Syncs
Khandai et al. 2011	ATM System	5	5		
Our approach	ATM System	9	4	4	1
Sabharwal et al., 2011	Shipping Order	1	1		
Our approach	Shipping Order	7	5		2

## 6 RELATED WORK

We have not found approaches to generate test cases for concurrent applications from requirements described in natural language specifications. Usually, UML activity and sequence diagrams are used for testing concurrency; however, most of reviewed works do not attend the characteristics defined by Katayama et al. (1999). And, it is necessary to refine the input models into intermediate models (not automated) to make explicit test inputs or conditions of them.

Some test generation methods based on path analysis of activity diagrams which contain fork-join structures were proposed, and for test scenario explosion problem: Sabharwal et al. (2011) use a prioritization technique based on information flow and genetic algorithms; in (Sapna and Hrushiksha, 2008; Shirole and Kumar, 2012) are used the precedence information among concurrent activities (activities in test scenarios are combined based on the order of Send Signal and Accept Event actions). Communication and wait interactions are considered in (Sapna and Hrushiksha, 2008; Shirole and Kumar, 2012). In (Khandai et al., 2011), a sequence diagram is converted into a concurrent composite graph (variant of an activity diagram); then they applied DFS search technique to traverse the graph, BFS search algorithm is used between fork and join construct to explore all concurrent nodes. In (Kim et al., 2007) an activity diagram is mapped to an Input/Output explicit Activity Diagram (explicitly shows external inputs and outputs); this diagram is converted to a directed graph for extraction of test scenarios and test cases (Basic path). In (Khandai et al., 2011; Kim et al., 2007) are not took care of communication interactions. Debasish and Debasis (2009) proposed an approach to generate test cases

from activity diagrams, which are generated intermediate models; intermediate models are built to identify and refine input and output variables; these tasks are automated, but they could be expensive and time consuming; objects created and changed by activities are considered as test information. Yan et al. (2006) generated test scenarios from BPEL (*Business Process Execution Language*) specifications; the scenario explosion problem is solved using path combination and exclusive paths strategies, *communication* interactions are not considered. Katayama et al. (1999) proposed an approach to generate test cases based on *Event InterActions Graph* and *Interaction Sequence Testing Criterion*, *graph model* represents the behavior of concurrent programs and the different interactions among unit programs.

Most of approaches to derive test cases are based on path analysis of semi-formal behaviour models. There are no systematic approaches to derive test cases from natural language requirements descriptions - *use cases* or *scenarios* and which use the relevant words (shared resources) of the application - *lexicon* to identify concurrent task interactions and reduce the test scenarios. Our approach derives test cases from *scenarios*, the input variables, conditions, expected results and concurrent tasks are identified and described before the derivation of intermediate models (graphs); and the reduction of test scenarios number is based on task interactions by shared resources.

## 7 CONCLUSIONS

Our approach provides benefits due to the following reasons: (1), it is capable to detect interaction errors among concurrent tasks more comprehensively than the existing approaches. (2), it derives test cases from requirements descriptions based on semi-structured natural language, existing approaches are based on semi-formal models. (3), it reduces the number of test scenarios generated for concurrent applications. (4), it starts with the software development process and these processes are carried out concurrently.

In our approach each concurrent sub-path has a single action; future work will be considered sub-paths containing a flow of actions.

In the future, we plan to deal with: (1) *Testing of exceptions and non-functional requirements* (constraints/conditions on resources); in this work was shown some criteria for mapping exceptions and non-functional requirements descriptions to behavior models and testing. (2) *Reduction of test scenarios*

*number based on precedence* (interleaving); our approach make explicit the interactions among concurrent tasks; however, shared resources could enforces a precedence order, e.g., when a task depends on a signal sent from other task to notify that a variable was updated (*communications*). (3) An automated tool that implements our approach is being developed (C&L - <http://pes.inf.puc-rio.br/cel>) to support the proposed strategy.

## REFERENCES

- Binder, R. V., 2000. Testing object-oriented systems. Addison-Wesley.
- Cockburn, A., 2001. Writing Effective Use Cases. Addison-Wesley.
- Damas, C., Lambeau, B., Dupont, P. and Lamsweerde, A. v., 2005. Generating annotated behavior models from end-user scenarios. *IEEE TSE*, volume 31, number 12.
- Debasish, K. and Debasish, S., 2009. A novel approach to generate test cases from UML activity diagrams. *Journal of Object Technology*, volume 8, number 3.
- Denger, C. and Medina, M., 2003. Test Case Derived from Requirement Specifications, Fraunhofer IESE Report.
- Gutiérrez, J. J., Escalona, M. J., Mejias, M. and Torres, J., 2006. An approach to generate test cases from use cases, *Proceedings of the 6th International Conference on Web Engineering*, pages 113-114.
- Heitmeyer, C., 2007. Formal methods for specifying, validating, and verifying requirements. *J Univ Comput Sci*, volume 13, number 5, pages 607-618.
- Heumann, J., 2001. Generating test Cases from use cases IBM
- Katayama, T., Itoh, E. and Furukawa, Z., 1999. Test-case generation for concurrent programs with the testing criteria using interaction sequences. Asia-Pacific Software Engineering Conference.
- Khandai, M., Acharya, A. and Mohapatra, D., 2011. A Novel Approach of Test Case Generation for Concurrent Systems Using UML Sequence Diagram. *ICECT*, 6, pages 157-161.
- Kim, H., Kang, S., Baik, J., Ko, I., 2007. Test Cases Generation from UML Activity Diagrams. In *8<sup>th</sup> ACIS International Conference on Software Engineering, Artificial Intelligence, Networking, and Parallel/Distributed Computing*, pages 556-561.
- Leite, J. C. S. P., Hadad, G., Doorn, J. and Kaplan, G., 2000. A scenario construction process. *Requirements Engineering Journal, Springer-Verlag London Limited*, volume 5, number 1, pages 38-61.
- Letier, E., Kramer, J., Magee, J. and Uchitel, S., 2005. Monitoring and Control in Scenario-based *Requirements Analysis*. *ICSE*.
- Sabharwal, S., Sibal, R. and Sharma, C., 2011. "Applying Genetic Algorithm for Prioritization of Test Case Scenarios Derived from UML Diagrams". *IJCSI*, 8.
- Sapna, P. G. and Hrushikesh, M., 2008. Automated Scenario Generation Based on UML Activity

- Diagrams. In *Proceedings of the International Conference on Information Technology*.
- Shirole, M. and Kumar, R., 2012. Testing for concurrency in UML diagrams. *SIGSOFT Softw. Eng. Notes*, volume 37, number 5.
- Sparx, 2011. Writing use case scenarios for model driven development. <http://www.spaxsystems.com>.
- Yan, J., Li, Z., Yuan, Y., Sun, W. and Zhang, J., 2006. BPEL4WS Unit Testing: Test Case Generation Using a Concurrent Path Analysis Approach. In *Proceedings of ISSRE'06*, pages 75-84.

